
Table of Contents

Table of Cases	xxiii
Table of Statutes.....	xxxvii
How to Use This Casebook.....	xlv
CHAPTER 1: <i>Introductions</i>	1
A. The Current Legal Framework.....	2
1. The Constitutional Basis for Federal Copyright Law, and the Powers of the States.....	2
2. The Copyright Act of 1976.....	5
3. Judge-Made Copyright Law and a Restatement of the Law of Copyright	8
4. The International Context of U.S. Copyright Law.....	9
B. Theoretical Frameworks	10
1. Instrumentalism and Economic Analysis.....	10
a. Conventional Economic Analysis.....	11
b. Incentives Independent of Copyright Law	15
<i>Against Intellectual Monopoly</i>	20
2. Labor and Personality Theories.....	22
3. Political Theory and Theories of Justice	25
CHAPTER 2: <i>The Basic Hurdles of Copyright Protection</i>	27
A. Fixation	28
1. The Basic Requirement.....	28
Statutory References: § 102(a); § 101 ("fixed," "copies," "phonorecords")	28
<i>Compendium of Copyright Office Practice</i>	28
<i>Kelley v. Chicago Park District</i>	30
Notes.....	33

<i>Recovering Collectivity: Group Rights to Intellectual Property in Indigenous Communities</i>	35
Notes.....	36
<i>Cartoon Network LLLP v. CSC Holdings, Inc.</i>	37
Notes.....	43
Language Tip: “Copy” and “Copyright”	45
2. Protection Against Unauthorized Fixation	47
Statutory References: <u>§ 1101</u> ; <u>18 U.S.C. § 2319A</u>	47
<i>The Anti-Bootlegging Provisions: Congressional Power and Constitutional Limitations</i>	47
Notes.....	49
3. Fixation and Interactive Devices	50
Notes.....	51
B. Originality	53
1. The Basic Requirement.....	53
<i>Bleistein v. Donaldson Lithographing Company</i>	53
Notes.....	57
<i>Prunté v. Universal Music Group, Inc.</i>	59
Notes.....	63
<i>Mannion v. Coors Brewing Company</i>	65
Notes.....	73
Copyright in the Real World: Film at Eleven	73
2. Originality and Derivative Works	75
Statutory References: <u>§ 103</u> ; <u>§ 101</u> (“derivative work,” “collective work,” “compilation”)	75
<i>L. Batlin & Son, Inc. v. Snyder</i>	76
Notes.....	83
Language Tip: Distinguishing Between Derivative Works, Collective Works, and Compilations	88
C. “Work of Authorship” and the Enumerated Categories Thereof: Independent Limitations on Copyrightable Subject Matter?	90
<i>Garcia v. Google, Inc.</i>	90
Notes.....	100

CHAPTER 3: Fundamental Limitations on Copyright Protection	109
Statutory References: § 102(a); § 102(b)	109
A. Facts (and Compilations)	111
<i>Feist Publications, Inc. v. Rural Telephone Service Company</i>	111
Notes.....	123
<i>Hoehling v. Universal City Studios, Inc.</i>	127
Notes.....	130
<i>CCC Information Services, Inc. v. Maclean Hunter Market Reports, Inc.</i>	132
Notes.....	135
B. Abstraction and “Ideas”	138
<i>Nichols v. Universal Pictures Corp.</i>	138
Notes.....	143
<i>Metro-Goldwyn-Mayer, Inc. v. American Honda Motor Co., Inc.</i>	146
Notes.....	151
<i>CCC Information Services, Inc. v. Maclean Hunter Market Reports, Inc.</i>	157
Notes.....	162
C. Utility and Functionality	164
1. Introduction.....	164
<i>Baker v. Selden</i>	164
Notes.....	171
Copyright in the Real World: When Garurasana Follows Utkatasana	173
2. Blank Forms.....	175
<i>Utopia Provider Systems, Inc. v. Pro-Med Clinical Systems, L.L.C.</i>	175
Notes.....	181
3. Computer Programs.....	182
<i>Apple Computer, Inc. v. Franklin Computer Corporation</i>	182
Notes.....	188
4. User Interfaces	192
5. Application Programming Interfaces.....	193
6. Useful Articles.....	197
<i>Mazer v. Stein</i>	197
Notes.....	199

Statutory References: § 102(a)(5); § 101 ("pictorial, graphic, and sculptural work"; "useful article"); § 113(a), (b) and (c)	201
<i>Star Athletica, L.L.C. v. Varsity Brands, Inc.</i>	201
Notes.....	215
7. Architectural Works.....	219
Statutory References: § 102(a)(8); § 101 ("architectural work"); § 120	219
a. Architectural Works Constructed Before 1990	219
b. Protection Under the Architectural Works Copyright Protection Act of 1990	220
Copyright in the Real World: Why Isn't the Statue of Portlandia More Famous?	223
CHAPTER 4: Proving Infringement of the Reproduction Right	227
A. The Elements of a Case of Copyright Infringement	229
Statutory References: § 106(1); § 501	229
<i>Arnstein v. Porter</i>	229
Notes.....	235
Language Tip: Infringement vs. Plagiarism	239
B. Establishing That the Defendant Copied from Plaintiff's Work	240
<i>Jones v. Blige</i>	240
Notes.....	247
<i>Price v. Fox Entertainment Group, Inc.</i>	249
Notes.....	254
Language Tip: Inconsistent Uses of "Substantial Similarity"	256
C. Improper Appropriation	257
1. Fragmented Literal Similarity and De Minimis Copying.....	258
<i>Gottlieb Development LLC v. Paramount Pictures Corp.</i>	258
Notes.....	264
Copyright in the Real World: Motion Pictures, Set Dressing, and Cleared Art.....	265
2. Non-Literal Copying	267
<i>Nichols v. Universal Pictures Corp.</i>	267

D. Introduction to the Modern Cases on Improper Appropriation in Non-Literal Infringement Cases.....	268
1. Whose Perspective Controls?	271
<i>Copeland v. Bieber</i>	271
Notes and Questions	274
2. The Methodology of Comparison.....	277
<i>Tufsenkian Import/Export Ventures, Inc. v. Einstein Moomjy, Inc.</i>	277
Notes.....	284
3. Infringement Analysis and Computer Programs	288
<i>Computer Associates International, Inc. v. Altai, Inc.</i>	288
Notes.....	300
CHAPTER 5: Fair Use	303
Statutory Reference: § 107	304
A. The Three Supreme Court Cases	304
<i>Sony Corporation of America v. Universal City Studios, Inc.</i>	304
Notes.....	313
Copyright in the Real World: “Any Other Use of This Telecast”— Should We Take the NFL Seriously?	318
<i>Harper & Row, Publishers, Inc. v. Nation Enterprises</i>	320
Notes.....	334
<i>Campbell v. Acuff-Rose Music, Inc.</i>	338
Notes.....	351
B. Some Applications.....	356
<i>Princeton University Press v. Michigan Document Services, Inc.</i>	356
Notes.....	367
Copyright in the Real World: Documentary Filmmakers and the Fair Use Best Practices Project	374
<i>Authors Guild v. Google, Inc.</i>	377
Notes	390
C. Interoperability and Reverse Engineering.....	396
<i>Sega Enterprises Ltd. v. Accolade, Inc.</i>	396
Notes.....	402

CHAPTER 6:	<i>Alternative Enforcement and Protection Strategies: Secondary Liability, Self-Help, Levies</i>	405
A.	Direct Infringement	407
	<i>Cartoon Network LP, LLLP v. CSC Holdings, Inc.</i>	407
	Notes	413
B.	Secondary Liability: An Introduction	416
	<i>Fonovisa, Inc. v. Cherry Auction, Inc.</i>	416
	Notes	421
	Copyright in the Real World: A Trip to the Copy Shop	424
C.	The Modern Enforcement Dilemma	425
	<i>When Code Isn't Law</i>	425
D.	Secondary Liability in the Age of Dispersed Infringement	428
	<i>Metro-Goldwyn-Mayer Studios Inc. v. Grokster, Ltd.</i>	432
	Notes	447
E.	Shielding and Recruiting New Gatekeepers: The Treatment of Online Service Providers in Section 512	450
	Statutory Reference: <u>§ 512</u>	450
	<i>Viacom International, Inc. v. YouTube, Inc.</i>	450
	Notes and Questions	462
	Section 512(h) Subpoenas to Identify Infringers and Congress's Failure to Anticipate P2P Seven Months Before Napster	469
F.	Technical Measures Under Section 1201	471
	Statutory Reference: <u>§ 1201</u>	471
	<i>MDY Industries, LLC v. Blizzard Entertainment, Inc.</i>	474
	Notes	488
	Copyright in the Real World: Unlocking Your Mobile Phone	490
G.	Viable Alternative or Failed Experiment? The AHRA Levy System and Private Copying Exception	494

CHAPTER 7: <i>The 1909 Act Framework and Its Partial Persistence: Of Publication, Notice, Deposit and Registration</i>	499
A. The Basic Framework of Federal Copyright, 1790–1977	500
<i>Wheaton v. Peters</i>	500
Notes.....	504
<i>The Right to Privacy</i>	506
Notes.....	507
B. Publication	508
1. Distribution	508
<i>Academy of Motion Picture Arts and Sciences v. Creative House Promotions, Inc.</i>	508
Notes.....	515
2. Performances and Displays	518
<i>Nutt v. National Institute Inc. for the Improvement of Memory</i>	518
Notes.....	520
<i>Letter Edged in Black Press, Inc. v. Public Building Commission of Chicago</i>	522
Notes.....	527
3. Publication Under the 1976 Act	528
Statutory Reference: <u>§ 101</u> (Publication)	528
<i>Copyright Office of the United States</i>	528
Notes.....	529
C. Notice	530
Statutory References: <u>§§ 401–406</u>	530
<i>Sidwell Co., Inc. v. Real Estate Data, Inc.</i>	530
Notes.....	531
<i>Shapiro & Son Bedspread Corp. v. Royal Mills Associates</i>	533
Notes.....	534
Copyright in the Real World: False or Misleading Claims of Ownership of Public Domain Works	537
D. Deposit	540
Statutory Reference: <u>§ 407</u>	540
<i>Copyright Office of the United States, Circular 7d</i>	540
Notes.....	543

E.	Registration	545
	Statutory References: §§ 408–412	545
	<i>Alaska Stock, LLC v. Houghton Mifflin Harcourt Pub. Co.</i>	545
	Notes.....	549
	FAQ on Pre-Registration	555
	Note	557
F.	Restoration of Copyright in Foreign Works.....	557
	Statutory Reference: § 104A.....	557
	<i>Troll Company v. Uneeda Doll Company</i>	557
	Notes.....	565
CHAPTER 8: Initial Ownership of Copyright.....		571
A.	Sole and Joint Authorship	572
	Statutory References: § 201(a); § 101 (“joint work”)	572
	<i>Andrien v. Southern Ocean County Chamber of Commerce</i>	572
	Notes.....	575
	<i>Aalmuhammed v. Lee</i>	576
	Notes.....	581
	<i>Gaiman v. McFarlane</i>	585
	Notes.....	589
	<i>Greene v. Ablon</i>	590
	Notes.....	597
B.	Works Made for Hire	598
	Statutory Reference: § 101 (“work made for hire”)	598
1.	Status as an Employee.....	598
	<i>Community For Creative Non-Violence v. Reid</i>	598
	Notes.....	606
2.	The Scope of Employment	611
	<i>Avtec Systems, Inc. v. Peiffer</i>	611
	Notes.....	616
3.	Commissioned Works as Works Made for Hire.....	621
	<i>Morris v. Atchity</i>	621
	Notes.....	624

C. Governments and Legally Binding Works	627
1. Ownership of Copyright by the United States Government	627
Statutory References: <u>§ 105; § 101</u> ("work of the United States Government")	627
Copyright in the Real World: Can I Use U.S. Stamp, Coin and Currency Designs?	628
2. State Government Ownership of Copyright	629
3. Ownership of Legally Binding Works	630
<i>Veeck v. Southern Building Code Congress International, Inc.</i>	630
Notes.....	644
State and Federal Immunity	646
CHAPTER 9: Transactions	649
A. Finding the Copyright Owner: The "Orphan Works" Problem.....	650
<i>Copyright Office of the United States, Report on Orphan Works (2006)</i>	652
B. Indivisibility Under the 1909 Act and Its Effect on Transactions.....	655
<i>Goodis v. United Artists Television, Inc.</i>	655
Notes.....	660
C. Transfer Formalities, Revocability, and Transferability Under the 1976 Act.....	663
Statutory References: <u>§ 204; § 101</u> ("transfer of copyright ownership")	663
<i>Effects Associates, Inc. v. Cohen</i>	663
Notes.....	669
Language Tip: "Assign" and "License"	671
D. When Is a Breach an Infringement? The Consequences of Violating Assignment or License Terms.....	672
<i>Jacobsen v. Katzer</i>	672
Notes.....	678
Alternative Copyright Licensing Movements: A Brief Survey.....	681
E. Recording and Title Assurance.....	686
Statutory Reference: <u>§ 205</u>	686
How Do You Mortgage a Copyright?	687

E. The Scope of Copyright Licenses: Problems of Interpretation	691
1. New Technologies, New Uses.....	691
<i>Bartsch v. Metro-Goldwyn-Mayer, Inc.</i>	691
Notes.....	696
2. Applying Statutory Presumptions	700
Statutory Reference: <u>§ 201(c)</u>	700
<i>New York Times Company, Inc. v. Tasini</i>	700
Notes.....	710
Copyright in the Real World: Todd Pitock on the Life of a Freelance Writer	714
CHAPTER 10: <i>Copyright Duration and Related Limitations on Transfer</i>	717
A. Duration.....	718
Statutory References: <u>§§ 302, 303, and 305</u>	718
1. The Current Scheme—Works Created On or After January 1, 1978.....	718
<i>Milne ex rel. Coyne v. Stephen Slesinger, Inc.</i>	718
Notes.....	720
<i>Eldred v. Ashcroft</i>	724
Notes.....	724
2. Transitional Rules—Works Created Before January 1, 1978.....	736
Statutory References: <u>§§ 303, 304(a), (b)</u>	736
Works First Published Before 1964.....	740
Works First Published Between 1964 and 1977.....	741
Works Created Before 1977 and Published Between 1978 and 2002	741
Works Created Before 1977 and Either Published After 2002 or Never Published	742
B. Limitations on Transfer.....	744
1. Limitations on Transfer of Renewal Rights.....	744
The Renewal System and Copyright Assignments	744

<i>Fred Fisher Music Co., Inc. v. M. Witmark & Sons</i>	745
<i>Miller Music Corp. v. Charles N. Daniels, Inc.</i>	746
<i>Stewart v. Abend</i>	747
Notes and Questions	749
2. Terminations of Transfers	754
a. “Extension-Windfall-Recovery” Terminations	754
Statutory References: <u>§ 304(c), § 304(d)</u>	754
Supplementary Register’s Report on the General Revision of the U.S. Copyright Law	754
Senate Report on S.483, <i>Copyright Term Extension Act</i>	755
<i>Brumley v. Albert E. Brumley & Sons, Inc.</i>	756
Notes and Questions	764
b. “Second-Bite” Terminations of Post-1977 Transfers.....	770
Statutory Reference: <u>§ 203</u>	770
<i>Scorpio Music S.A. v. Willis</i>	770
Notes.....	777
Copyright in the Real World: Why Termination Will Be a Big Deal in the Record Industry.....	779
CHAPTER 11: <i>The Reproduction, Distribution, and Adaptation Rights, and the Visual Artists Rights Act</i>	783
A. The Reproduction Right	787
Copyright in the Real World: Rush Limbaugh at Drive Time.....	788
B. The Right of Distribution to the Public	790
Statutory References: <u>§§ 106(3), 109</u>	790
1. The Meaning of “Distribution to the Public”	790
<i>Capitol Records, Inc. v. Thomas</i>	790
Notes.....	799
2. Qualifying for the Protection of the “First Sale” Doctrine.....	802
<i>UMG Recordings, Inc. v. Augusto</i>	802
Notes.....	807

3.	The Scope of the § 109 Exception	814
	<i>Brilliance Audio, Inc. v. Hights Cross Communications, Inc.</i>	814
	Notes.....	818
4.	Importation.....	821
	<i>Kirtsaeng v. John Wiley & Sons, Inc.</i>	821
	Notes.....	834
C.	The Adaptation Right	837
	Statutory Reference: § 106(2).....	837
	<i>Lee v. A.R.T. Company</i>	841
	Note	845
D.	Music and the First Three Exclusive Rights.....	847
1.	Of “Covers” and Compulsory Licenses Under § 115	847
	Copyright in the Real World: Who Gets the Money I Spend on iTunes?	851
2.	Section 114(b) and Sampling	854
	<i>Newton v. Diamond</i>	854
	Notes.....	861
	<i>VMG Salsoul, LLC v. Ciccone</i>	865
	Notes.....	871
E.	Protecting Integrity and Attribution: “Moral Rights” and the Visual Artists Rights Act	872
	Statutory References: §§ 101 (“work of visual art”), <u>106A</u> , <u>113(d)</u>	875
	<i>Cheffins v. Stewart</i>	875
	Notes.....	882
	<i>Martin v. City of Indianapolis</i>	884
	Notes.....	890
CHAPTER 12: <i>Public Performance and Display Rights</i>		895
A.	When Is a Performance or Display “Public”?.....	895
	Statutory Reference: § 101 (“display” “perform” “publicly”)	895
	<i>Fermata International Melodies, Inc. v. Champions Golf Club, Inc.</i>	895
	<i>Cartoon Network v. CSC Holdings, Inc.</i>	897
	Notes.....	903

Copyright in the Real World: Do Cable and Satellite TV Companies Have to Pay Copyright Owners When They Carry Broadcast TV Channels?.....	905
<i>American Broadcasting Companies, Inc. v. Aero, Inc.</i>	909
Notes and Questions	923
Copyright in the Real World: Music on the Campaign Trail.....	928
B. The Emerging Importance of the Display Right	931
<i>The Public Display Right: The Copyright Act's Neglected Solution to the Controversy over 'RAM Copies'</i>	931
Notes.....	935
<i>Perfect 10, Inc. v. Amazon.Com, Inc.</i>	936
Notes.....	941
C. Limitations on the Public Performance and Display Rights	942
Statutory Reference: <u>§ 110</u>	942
<i>Simpleville Music v. Mizell</i>	945
<i>Morganactive Songs, Inc. v. Padgett</i>	948
Copyright in the Real World: Do High Schools Need Licenses to Perform School Plays, and if So, How Do They Get Them?	950
<i>Broadcast Music, Inc. v. Claire's Boutiques, Inc.</i>	951
Notes.....	962
Copyright in the Real World: A Visit to a Sports Bar.....	965
D. Performing Rights Organizations	967
Notes.....	970
Copyright in the Real World: Put a Quarter in the Jukebox.....	974
E. The Digital Performance Right for Sound Recordings	977
Statutory References: <u>§ 106(6), 114(d)–(i)</u>	977
<i>Arista Records, LLC v. Launch Media, Inc.</i>	977
Notes.....	984
F. State-Law Public Performance Rights for Pre-1972 Sound Recordings....	987
Epilogue: What Is the Future of the Exclusive Rights?	991

CHAPTER 13: Remedies	995
A. Damages: Compensation and Restitution.....	996
Statutory References: §§ 504(a), 504(b)	996
1. Actual Damages	996
<i>Banff Ltd. v. Express, Inc.</i>	996
Notes.....	998
2. Profits	1002
<i>Caffey v. Cook</i>	1002
Notes.....	1011
B. Statutory Damages.....	1017
Statutory Reference: § 504(c).....	1017
<i>Bryant v. Media Right Productions, Inc.</i>	1017
Notes.....	1024
C. Attorney's Fees.....	1027
<i>Kirtsaeng v. John Wiley & Sons, Inc.</i>	1027
Notes.....	1032
D. Injunctive and Other Equitable Relief.....	1035
<i>Salinger v. Colting</i>	1035
Notes.....	1042
E. Criminal Offenses.....	1045
Statutory References: § 506; 18 U.S.C. § 2319.....	1045
1. Criminal Infringement.....	1046
2. Other Criminal Offenses.....	1047
3. Civil Forfeiture and the Seizure of Domain Names Used in Connection with Criminal Copyright Offenses	1048
CHAPTER 14: Invoking Judicial Power: Jurisdiction, Venue, Standing, Limitations, Preemption, Choice of Law and Related Issues	1051
A. Subject-Matter Jurisdiction	1054
<i>Bassett v. Mashantucket Pequot Tribe</i>	1054
Notes.....	1059

B.	Plaintiff Issues: Standing, Registration and Recordation	1062
	<i>Minden Pictures, Inc. v. John Wiley & Sons, Inc.</i>	1062
	Notes.....	1069
	Copyright in the Real World: A Copyright Litigation Entrepreneur Runs Up Against Standing Rules	1071
C.	Defendant Issues: Personal Jurisdiction, Venue, Joinder, Sovereign Immunity	1072
	<i>Metro-Goldwyn-Mayer Studios Inc. v. Grokster, Ltd.</i>	1072
	Notes.....	1080
	Copyright in the Real World: One Copyright Plaintiff, 15,551 Defendants? Joinder and Jurisdiction in Mass Copyright Litigation	1081
D.	Limitation on Actions and General Equitable Defenses.....	1085
	Statutory Reference: <u>§ 507(b)</u>	1085
	<i>Thornton v. J. Jargon Co.</i>	1085
	Notes.....	1092
E.	Litigation Issues: Pleading, Burden of Proof, Jury Trial.....	1097
	1. Pleading	1097
	2. Burdens of Proof	1098
	3. Jury Trial.....	1099
F.	Preemption.....	1100
	Statutory Reference: <u>§ 301</u>	1100
	<i>Stromback v. New Line Cinema</i>	1101
	Notes.....	1110
	<i>Toney v. L'Oreal USA, Inc.</i>	1112
	Notes.....	1115
G.	International Issues	1117
	1. Personal Jurisdiction	1119
	2. Foreign Sovereign Immunity.....	1120
	3. Subject-Matter Jurisdiction.....	1120
	4. U.S. Copyright Protection of Foreign Works.....	1122
	5. Choice of Law	1123

<i>Itar-Tass Russian News Agency v. Russian Kurier, Inc.</i>	1123
Notes.....	1129
6. Forum Non Conveniens.....	1133
<i>Heriot v. Byrne</i>	1133
Notes.....	1139
General Index	1141
Index of Special Features.....	1153