## References are to Pages

#### ABUSE OF PROCESS CLAIMS

Frivolous litigation, 446

#### ACCESS TO LEGAL SERVICES

Professionalism responsibilities for adequacy of, 36

#### ACCOUNTABILITY DUTIES

Professionalism issues, 36

## ACCOUNTING DUTIES

Contingent fee disbursements, 180 Trust accounts, 147

## ADMINISTRATIVE BODIES

Representation, 556

## ADMISSION TO PRACTICE

Generally, 59 Beliefs and views, consideration of, 63 Disabilities discrimination, 63 Law students, 70, 72, 128 Pro hac vice admission, 78 Regulations, due process requisites, 61

## ADVANCE PAYMENTS

Generally, 164

#### ADVERSARY SYSTEM

See also Advocacy duties, this index; Professionalism, this index

Historical development of adversary-orientated system model, 29

Pervasive issues, 23

# ADVERTISING LEGAL SERVICES

See Attorney-Client Relationship, this index

## ADVISOR DUTIES

Generally, 546 Candor, 548 Juvenile clients, 549 Zealous advocacy, 546

## ADVOCACY DUTIES

Generally, 435 et seq. Abusive cross-examination, 489, 536 Alternative dispute resolution, advocacy roles, 560

Argument, 483, 529, 543 Authority citations, 487 Bad faith delay tactics, 461 Civil litigation Generally, 436

Abusive cross-examination, 489 Argument, 483

#### ADVOCACY DUTIES—Cont'd

Civil litigation—Cont'd

Authority citations, 487 Bad faith delay tactics, 461

Civility or professionalism codes, 504

Contemptuous conduct, 490

Damaging evidence, disclosure duties, 458

Delay of proceedings, below

Disclosure duties, 452

Discovery Practice, this index

Disruptive courtroom behavior, 500

Embarrassment, tactics designed to create,

Evidence presentation, 483

Ex parte proceedings, disclosure duties, 479 Fair Trial and Free Press Standards, this

index

False evidence, 485

Frivolous Litigation, this index

Irrelevant matter and argument, 498

Judges, ex parte communications with, 474

Juror contacts, 480

Law, representations as to, 487

Party communications, 461 et seq.

Personal opinion statements, 499

Pretrial delay, 461

Rule 11 Sanctions, this index

Treating physician communications, 472

Unrepresented person contacts, 471

Witness, lawyers testifying as, 501

Witnesses, this index

Zealous advocacy standards, 445

Civility or professionalism codes, 504

Collaborative law, 570

Conflicts of interest created by, 2

Contemptuous conduct, 490

Criminal Defense Practice, this index

Damaging evidence, disclosure duties, 458

Deceit, 484

Delay of proceedings, 461, 498

Disclosure duties, civil litigation, 452

Discovery Practice, this index

Dishonesty, 484

Disruptive courtroom behavior, 500

Embarrassment, tactics designed to create, 498

Evidence presentation, 483

Ex parte proceedings, disclosure duties, 479 Extrajudicial speech, fair trial and free press standards, 506

Fair Trial and Free Press Standards, this index

False evidence, 485

Fraud, 484

Frivolous Litigation, this index

## References are to Pages

#### ADVOCACY DUTIES—Cont'd

Irrelevant matter and argument, 498 Judges, ex parte communications with, 474

Juror contacts, 480

Law, representations as to, 487

Limits of, 591

Misrepresentation, 484

Objectives of representation conflicting, 11

Party communications, 461 et seq.

Personal opinion statements, 499

Pretrial delay, 461

Professionalism codes, 504

Professionalism duties, reconciliation of advocacy with, 533

Treating physician communications, 472 Unrepresented person contacts, 471

Witness, lawyers testifying as, 501

Witnesses, this index

Zealous advocacy

Generally, 445 Advisor duties, 546

Criminal defense practice, 541

Discovery practice, 456

Prosecutors, 522

#### AGENCY LAW

Actual and apparent authority, 142 Attorney-client relationships, 106 Confidentiality duties, agency rule aspects, 232 Conflicts of Interest, this index

Fiduciary Duties, this index

## ALTERNATIVE DISPUTE RESOLUTION

Attorney roles, 559, 560, 571 Conflicts of interest, 573 Fee dispute arbitrations, 166 Mediation, attorney roles, 561 Negotiations, truthfulness duties, 563 Neutral, attorney serving as, 571

# ANTITRUST LAW

Fee schedules, 57

Unauthorized practice of law, 49

Practice of law issues, 73, 575

## APPEARANCES

Generally, 70 Authority to enter, 64

Law students, 72 Unauthorized practice of law, 72

Withdrawal duty of discharged attorney, 222,

## APPELLATE PRACTICE

Criminal defense, 543 Malpractice, 195

## ARBITRATION

Fee disputes, 166

## ARBITRATION PRACTICE

See also Alternative Dispute Resolution, this index

Unauthorized practice of law, 73

## ATTORNEY-CLIENT PRIVILEGE

Generally, 240 et seq. Communications protected, 242

## ATTORNEY-CLIENT PRIVILEGE—Cont'd

Confidentiality duty relationship, 249

Corporate clients, 243, 247

Crime/fraud exception, 247

Death of client, 248

Electronic communications, 242

Evidence tampering law conflicts, 260, 267

Identity of client, 248

Inadvertent receipt of protected material, 558

Observations, 242

Obstruction of justice law conflicts, 259

Overheard communications, 242

Physical evidence, 242, 255, 260

Preventive disclosure, 277

Third parties to communications, 242

Work-product doctrine distinguished, 251

## ATTORNEY-CLIENT RELATIONSHIP

Generally, 106

Accidental client cases, 120

Administrative body representations, 556

Advertising, 107 et seq.

Advisor Duties, this index

Advocacy Duties, this index

Agency law, 106

Alternative dispute resolution roles, 559, 560

Attracting clients, 107 et seq.

Authority within the relationship, 135, 142,

143

Compelled representation in criminal cases, 123

Conflicts of Interest, this index

Creation

Generally, 116, 190

See also Appearances, this index

Privilege, creation of relation to obtain, 236 Decision-making in criminal defense practice, 540

Derivative clients, evaluator duties, 555

Diminished capacity clients, authority issues,

Discharge of Attorney, this index

Discretionary model, 19

Discriminatory practices, 123

Entity representations, identifying the client,

Establishing the relationship, 115

Evaluator Duties, this index

Fees for Legal Services, this index

Fiduciary Duties, this index

Former clients

Confidentiality duties, 515

Conflicts of interest, representation adverse to, 313, 365

Discharge of Attorney, this index

Withdrawal of Attorney, this index

Identifying the client, 128

Insurance practice, 134 Internet advertising, 113

Internet communications creating, 122

Judicial appointments, 123

Judicial appointments in criminal cases, 123

Lawyer referral services, 114

Legal services plans, 114

Legislative body representations, 556

## References are to Pages

## ATTORNEY-CLIENT RELATIONSHIP

-Cont'd

Libertarian model, 19

Limited representation, 127

Manipulative aspects, 18

Mediation, attorney roles, 561

Misappropriation of client funds, 145

Morality conflicts, 12

Multi-jurisdictional advertising, 114

Names of law firms, 113

Negotiations, truthfulness duties, 563

Paternalistic aspects, 18

Perjurious clients, 286 et seq., 300, 303

Personal property of client, safeguarding, 155

Physical or mental condition affecting representation, 556

Prospective clients, 120, 239, 397

Radio, 113

Refusal of representation, 123, 538

Regulatory model, 19

Rejection of applicant clients, 122

Safeguarding tangible personal property of client, 155

Solicitation of clients, 107 et seq., 112

Television, 113

Termination, 212 et seq.

Trade names, 113

Trust Accounts, this index

Withdrawal of Attorney, this index

#### AUTHORITY

Appearances, 64

Criminal defense practice, 540

Inherent judicial authority. See Judicial Regulation of Legal Profession, this index Integrated state bars, enforceable conduct standards, 8

#### BANKRUPTCY LAW

Advisor duties, 546

## BAR ASSOCIATIONS

Voluntary and integrated bars, 54

# BELIEFS AND VIEWS, CONSIDERATION

Admission to practice standards, 63

## CANDOR DUTIES

Advisor, attorney as, 548

## CANONS OF PROFESSIONAL ETHICS

Generally, 8

## CLIENT IDENTITY

Confidentiality duties, 235

## COLLABORATIVE LAW

Generally, 570

## CONFIDENTIALITY DUTY

Generally, 232 et seq. Agency rule aspects, 232

Attorney protection, 304

Attorney-Client Privilege, this index

Attorney-client privilege relationship, 249

Child abuse reporting statute conflicts, 280

Client identity, 235

## CONFIDENTIALITY DUTY—Cont'd

Conflicts of interest, 360, 395

Co-parties, joint defense privilege, 373

Corrective disclosure, 283

Criminal acts, disclosure to prevent, 276

Diminished capacity clients, 144, 281

Discipline reporting duties conflicts, 81

Disclosure duty conflicts, 272 et seq.

Ethical confidentiality, 232 et seq.

Evidence rule aspects, 232, 260, 267

Existence of attorney-client relationship supporting, 236

Extrajudicial speech, 515

Fee collection efforts, disclosure threats, 304

Former clients, 515

Harm to third persons conflicts, 271

Imputed disqualification rules creating confidentiality conflicts, 395

Inadvertent receipt of confidential material, 557

Injunctive protection of confidential information, 314

Obstruction of justice law conflicts, 259

Officer of court duty conflicts, 286 et seq.

Perjurious clients, 286 et seq.

Preventive disclosure, 280

Privilege, 232 et seq. Prospective clients, 239

Reporting duty conflicts. See Reporting Duties, this index

Sarbanes-Oxley Act disclosure duty conflicts, 284, 553

Self-defense conflicts, 304

Tarasoff duty conflicts, 274, 277

Third person harms conflicts, 271

Work-Product Doctrine, this index

Wrongful conviction or detention, disclosure to prevent, 279

## CONFLICTS OF INTEREST

Generally, 312 et seq.

Adversaries of clients, unrelated representations, 341

Advocacy duties creating conflicts, 2

After-acquired conflicts, 330

Alternative dispute resolution, 573

Anticipation of conflicts, 312

Business vs legal conflicts, 313

Confidential information uses, 360

Confidentiality duty, 360, 395

Consents of clients, 320, 331, 341

Co-parties, joint defense privilege, 373

Corporate entities and individuals, 129

Criminal defense practice, 541, 553

Disclosure duties

Generally, 323, 356, 362

Criminal defense practice, 553

Disqualification remedy

Generally, 312

Imputed disqualification, below

Divisions of fees, 188

Dual representation, 324

Ethical wall or Chinese wall arrangements, 317

Ethics in government laws, 405

Ethics violations, 322

## References are to Pages

## CONFLICTS OF INTEREST—Cont'd

Extrajudicial speech, 515

Fee-related conflicts, 166

Firm practice, 374, 386

Former clients, representation adverse to, 313, 365

Former government attorneys, 397

Former judges, 405

Gifts from clients, 360

Imputation and screening in the private firm, 386

Imputed disqualification

Generally, 374 et seq. Confidentiality duty conflicts, 395

Migrating lawyers, 392

Multiple client conflicts, 375

Nonprofit legal aid agencies, 384

Prior firm relationships, 396

Public defenders, 378

Public interest organizations, 378

Screening, 386 et seq.

Ineffective assistance of counsel resulting, 199

Insistent clients in conflict situations, 363

Insurance practice, 134, 332

Judges, this index

Literary rights agreements with clients, 357

Litigation conflicts, 326, 358

Loans from clients, 360

Migrating lawyers, imputed disqualification, 392

Multi-jurisdictional practice, 345, 374

Multiple client conflicts

Generally, 318 et seq.

Adversaries, unrelated representations, 341

Consents of clients, 331

Corporate entities and individuals, 129

Imputed disqualification, 375

Insurance matters, 332

Litigation conflicts, 326

Sale transactions, 319

Simultaneous multiple representation in litigation, 330

Substantial relationship determinations, 371

Switching clients, 365

Will drafting, 337

Nonprofit legal aid agencies, imputed disqualification, 384

Personal interests, 349 et seq., 358

Positional conflicts, 339

Prior firm relationships, imputed disqualification, 396

Prospective clients, duties owed, 397

Public defenders, 377

Public interest organizations, imputed disqualification, 378

Representation adverse to former clients, 313,

Sale transactions, multiple client conflicts, 319 Screening, imputed disqualification, 386 et seq. Sexual relationships with clients, 359

Shares in businesses as fees, 355

Simultaneous multiple representation in litigation, 330

Single client with multiple interests, 332

## CONFLICTS OF INTEREST—Cont'd

Statutory duty cases, 338

Substantial relationship determinations, 371

Switching clients, 365

Transactions with clients, 350, 353

Undue influence presumptions, 353, 363

Unforeseeable actions of clients creating, 348 Unrepresented parties to transactions, disclo-

sure duties, 325

Will drafting, 337, 361, 363

#### CONSTITUTIONAL LAW

Due process, 61, 84

Fair Trial and Free Press Standards, this index Regulation of legal profession, constitutional challenges, 52

#### CONTEMPT

Generally, 66, 490

See also Discipline, this index

Judicial regulation of legal profession through,

#### CONTINGENT FEES

Generally, 173

Accounting duties, 180

Advance provisions in agreements, 179

Burden of proof of reasonableness, 175

Closing statements, 181

Costs provisions in agreements, 179

Counterclaim issues, 178

Criminal defense practice, 181

Discharge of attorney, 219

Disclosure duties, 177

Divisions of Fees, this index

Domestic relations cases, 181

Fiduciary duties, 175

Post-trial compensation, 180

Public policy prohibitions, 182

Reasonableness, 174 Risks justifying, 176

Structured settlements, 177

Trust accounts settlement through, 178

## CORPORATIONS

Attorney-client privilege as to corporate clients, 243, 247

Confidentiality duties, Sarbanes-Oxley Act conflicts, 284, 553

Conflicts of interest between entity and owners, 129

Malpractice in corporate representation, 211 Robber baron era, 30

## COURTROOM CONDUCT

See also Contempt, this index Attorneys, contemptuous conduct, 490 Judges' abusive behavior, 582

## CREATION OF ATTORNEY-CLIENT RE-**LATIONSHIP**

See Attorney-Client Relationship, this index

## CRIME/FRAUD EXCEPTION

Attorney-client privilege, 247

## CRIMINAL DEFENSE PRACTICE

Abusive cross-examination, 536

## References are to Pages

# CRIMINAL DEFENSE PRACTICE—Cont'd

Advocacy duties

Generally, 532 et seq.

Abusive cross-examination, 536

Argument, 483, 543

Authority citations, 487

Civility or professionalism codes, 504

Collateral consequences of conviction, 543

Contemptuous conduct, 490

Cross-examination, 533

Delay, tactics designed to create, 498

Disruptive courtroom behavior, 500

Embarrassment, tactics designed to create, 498

Evidence presentation, 483

Fair Trial and Free Press Standards, this index

False evidence, 485

Frivolous litigation, criminal vs civil case standards, 446

Irrelevant matter and argument, 498

Judges, ex parte communications with, 474

Juror contacts, 480

Law, representations as to, 487

Legal but morally questionable tactics, 539

Personal opinion statements, 499

Professionalism duties reconciliation with, 533

Unrepresented person contacts, 471 Witness, lawyers testifying as, 501

Zealous advocacy, 541

Appeals, 543

Compelled representation, 123

Conflicts of interest, 541, 553

Contingent fees, 181

Cross-examination, 533

Decision-making authority, 540

Discharge of attorney, 227

Disclosure duties, 540, 543, 553

Frivolous litigation, criminal vs civil case standards, 446

Gag orders, 518

Ineffective assistance of counsel, 198

Legal but morally questionable tactics, 539

Malpractice, this index

Party communications, 461 et seq., 527

Perjurious clients, 286 et seq.

Pro se representation with standby counsel, 227

Professionalism duties, advocacy duties reconciliation with, 533

Prosecutors, this index

Withdrawal of defense attorney, 227, 541

Witnesses, this index

Zealous advocacy, 541

#### CRIMINAL PROSECUTION THREATS

Generally, 451

## DEATH OF CLIENT

Attorney-client privilege, 248

## DECISION-MAKING AUTHORITY

See Authority, this index

## DERIVATIVE CLIENTS

Evaluator duties, 555

#### DIMINISHED CAPACITY CLIENTS

Authority issues, 143 Confidentiality duties, 144, 281 Juvenile clients, advisor duties, 549 Malpractice, 205

#### DISABILITY DISCRIMINATION

Admission to practice standards, 63 Attorneys, 123 Judges, 583

## DISCHARGE OF ATTORNEY

Generally, 43, 217 et seq. Acts constituting discharge, 226

Breach of contract, 219

Cause discharges, 218, 225

Contingent fee agreements, 219

Criminal defense practice, 227

Disclosure duty violations, 218

Fees, 217, 225

High grade and low grade discharges, 225

Insurance conflicts, 335

With drawal duty of discharged attorney, 222,  $\phantom{0}227$ 

## DISCIPLINE

Generally, 79

See also Judicial Regulation of Legal Profession, this index; Sanctions, this index

ABA standards, 84

Due process requirements, 84

Fee splitting violations, 188

Fees for legal services, unreasonable charges, 173

Frivolous litigation, 436

Judicial misconduct, duty to report, 85

Multi-jurisdictional discipline, 87

Nature of sanctions, 439

Procedural sanctions compared, 446, 458

Reciprocal discipline by other states, 86

Reporting duties, 81

Trust accounts rule violations, 145

Trust fund misuse, 80

## DISCLOSURE DUTIES

See also Reporting Duties, this index Abuse of duties, civil litigation, 452

Confidentiality duties conflicts, 81, 272 et seq.

Conflicts disclosures

Generally, 323, 356, 362

Criminal defense practice, 553

Contingent fees, 177

Criminal defense practice, 540, 543, 553

Damaging evidence, 458

Discharge for violations, 218

Discovery, smoking gun documents, 452

Divisions of fees, 183

Ex parte proceedings, 479

Malpractice insurance, 194

Prosecutors, 526

Smoking gun documents, 452

Unrepresented parties to transactions, 325

## References are to Pages

## DISCOVERY PRACTICE

Generally, 456

See also Disclosure Duties, this index

Abusive discovery

Generally, 454

Depositions, 460

Overly broad demands, 459

Sanctions for abusive discovery, below

Zealous advocacy, 456 Damaging evidence, 458

Electronic discovery, 459

Overly broad demands, 459

Reasonable inquiry duties, 456

Sanctions for abusive discovery, 445, 456, 458

Smoking gun documents, 452

Zealous advocacy, 456

## DISCRIMINATION

See also Disability Discrimination, this index

Attorney-client relationship decisions, 123

# DISQUALIFICATION OF ATTORNEY

Conflicts disqualifications, 312

Imputed disqualification. See Conflicts of Interest, this index

Judicial authority, 312

### DISQUALIFICATION OF JUDGE

See Judges, this index

#### DISTRIBUTION OF LEGAL SERVICES

Professionalism responsibilities for adequacy if,

## DIVISIONS OF FEES

Generally, 182

Conflicts of interest, 188

Disciplinary sanctions for rule violations, 188

Disclosure duties, 183

Enforcement of fee agreements, 183

Firm break-up problems, 188

Nonlawyers, fee splitting with, 188

Public policy prohibitions, 187

## DOMESTIC RELATIONS CASES

Contingent fees, 181

## **DUE PROCESS**

See Constitutional Law, this index

## EMPLOYMENT LAWS

Law firm employees, 95

## **EQUALITY OF REPRESENTATION**

Generally, 32

## **ETHICS**

See also Morality, this index Bar association ethics opinions, 11

Confidentiality duties, 232 et seq.

Conflicts of interest violations, 322

Government laws, 405

Malpractice, ethical violations as, 196

Reporting duties, ethical conflicts, 81

Settlements, ABA ethical standards guideline,

Violations of ethical rules as malpractice, 196 Withdrawals on ethical grounds, 216

## ETHICS 2000 COMMISSION

Model Rules of Professional Conduct revisions,

## **EVALUATOR DUTIES**

Generally, 549

Derivative clients, 555

Malpractice, 549

Opinion letters, 549

Securities law, 552

Third party reliance issues, 552, 554

Title opinions, 553

## EVIDENCE LAW

Confidentiality duties, evidence rule aspects,

Inadvertent receipt of protected material, 558 Tampering with evidence, 260, 267

## EX PARTE PROCEEDINGS

Disclosure duties, 479

#### EXPERT WITNESSES

Malpractice, 195

Witness communications, 487

## FAIR TRIAL AND FREE PRESS STAN-DARDS

Generally, 505 et seq.

Attorneys' extrajudicial statements, 506

Cameras in the courtroom, 519

Gag orders, 518

Judges' extrajudicial statements, 424

Prosecutors' extrajudicial statements, 516

Publicity restrictions in high profile trials, 507

#### FEDERAL REGULATION OF LEGAL PRACTICE

Generally, 48

## FEDERAL REGULATION OF LEGAL **SERVICES**

Sarbanes-Oxley Act disclosure duty conflicts, 284, 553

# FEE SCHEDULES

Antitrust law conflicts, 57

## FEES FOR LEGAL SERVICES

Generally, 157 et seq.

Advance payments, 164

Agreements, 157

American Rule, fee awards, 167

Arbitration of fee disputes, 166

Awards of fees, 167

Billable hour calculations, 169

Billing practices, 158

Blended billing rates, 172

Burden of proof of reasonableness, 175

Collection litigation, 166

Confidentiality disclosure threats to collect fees, 304

Conflicts of interest, 166, 355

Contingent Fees, this index

Discharged attorneys, 217, 225

## References are to Pages

## FEES FOR LEGAL SERVICES—Cont'd

Discipline of unreasonable fee charges, 173

Divisions of Fees, this index

Fixed or flat fee agreements, 171

Judicial review of fee contracts, 165

Liens for payment, 228

Litigation over fees, 166

Lodestar award calculations, 167

Quantum meruit recovery, 217

Reasonableness

Generally, 159

Burden of proof, 175

Contingent Fees, this index

Discipline of unreasonable fee charges, 173

Retainers, 164

Shares in client businesses as fees, conflicts of interest, 355

Types of legal fees, 169

Withdrawals, fee disputes, 215

Written retainer agreement, 165

#### FIDUCIARY DUTIES

Burden of proof of fair dealing, 175

Conflicts of Interest, this index

Contingent fees, 175

Loyalty, 195

Malpractice liability, fiduciary duty breaches compared, 194

Remedies for breach, 316

Trust Accounts, this index

## FIRM PRACTICE

Generally, 87 et seq.

Conflicts of interest

Generally, 89, 374

Imputation and screening in the private firm, 386

Prior firm relationships, 396

Definition, 376

Dissolutions of firms, 105

Divisions of fees, firm break-up problems, 188

Employment law applicability, 95

Imputed disqualification, 392, 396

Lay partners, 49

Limited liability business organizations, 89

Member regulations, 95

Migrating lawyers, imputed disqualification,

Multi-disciplinary practice, 90

Multi-Jurisdictional Practice, this index

Noncompetition covenants, 99

Prior firm relationships, imputed disqualification, 396

Restrictive covenants, 99

Retirement benefits, 105

Sales of firms, 105

Sanctions of attorneys, firm liability, 445 Substantive business restrictions, 90

Trade names, 113

## FITNESS TO PRACTICE STANDARDS

Generally, 60

## FORMER CLIENTS

See Attorney-Client Relationship, this index

## FREE PRESS

See Fair Trial and Free Press Standards, this index

## FRIVOLOUS LITIGATION

See also Rule 11 Sanctions, this index

Abuse of process claims, 446

Criminal prosecution threats, 451

Criminal vs civil case standards, 446

Discipline, 436, 446

Innovative claims problem, 443

Malicious prosecution, 450

Reasonable investigation standards, fact repre-

sentations of clients, 450
Representations of clients, claims relyi

Representations of clients, claims relying on, 444, 450

Sua sponte sanctions, 443

Threats of criminal prosecution, 451

Wrongful use of civil proceedings, 450

## GOVERNMENT ATTORNEYS

Ethics in government laws, 405

Former practice, conflicts of interest, 397

Prosecutors, this index

Public Defenders, this index

Representation of government bodies generally, 556

## IDENTITY OF CLIENT

Attorney-client privilege, 248

#### IMMUNITY

Prosecutors, 531

## IMPUTED DISQUALIFICATION

See Conflicts of Interest, this index

#### INDIGENTS

Pro-bono representation, 126, 128

Professionalism responsibilities for adequacy of access to legal services, 36

## INHERENT JUDICIAL AUTHORITY

See Judicial Regulation of Legal Profession, this index

## INSURANCE PRACTICE

See Conflicts of Interest, this index

## INTERNET ACTIVITIES

Advertising legal services, 113

Attorney-client privilege for electronic communications, 242

Attorney-client relationships, internet communications creating, 122

Unauthorized practice of law, 70

## JOINT DEFENSE PRIVILEGE

Co-party conflicts of interest, 373

## JUDGES

Generally, 576 et seq.

Abusive courtroom behavior, 582

Appearance of bias or prejudice, 585

Appearance of partiality Generally, 418 et seq.

Extrajudicial relationship with parties, lawyers, or witnesses, 426 612 Index

## References are to Pages

#### JUDGES—Cont'd

Appearance of partiality—Cont'd

Prior judicial involvement with matter or party, 425

Prior service as counsel, 426

Public statements by sitting judges, 424

Appointments to judgeships, 582

Bias or prejudice, 410, 491, 585

Conflicts of interest of former judges, 405

Disability discrimination, 583

Disqualification and recusal

Generally, 409 et seq.

Abusive accusations of bias, 491

Appearance of partiality, above

Extrajudicial relationship with parties, lawyers, or witnesses, appearance of partiality, 426

Prior judicial involvement with matter or party, appearance of partiality, 425

Prior service as counsel, appearance of partiality, 426

Procedures, 427

Public statements by sitting judges, appearance of partiality, 424

Remittal of disqualification, 431

Residual jurisdiction of disqualified judges,

Standards, 410 et seq.

Waiver or remittal, 434

Elections, 577

Ex parte communications with, 474

Extrajudicial relationship with parties, lawyers, or witnesses, appearance of partiality, 426

Former judges, conflicts of interest, 405

Lawyers' judicial conduct, 582

Limitations on non-judicial activities, 586

Model Code of Judicial Conduct, 588

Non-judicial activities limitations, 586

Non-judicial office candidacies, 582

Partiality. Appearance of partiality, above

Political campaigns, 577

Prior judicial involvement with matter or party, appearance of partiality, 425

Prior service as counsel, appearance of partiality, 426

Public comments, 585

Public statements by sitting judges, appearance of partiality, 424

Reporting duties, 587

## JUDICIAL IMMUNITY

Generally, 197

## JUDICIAL MISCONDUCT

Duty to report, 85

# JUDICIAL REGULATION OF LEGAL PROFESSION

Generally, 41

See also Sanctions, this index

Authority to disqualify attorneys, 312

Contempt authority, 66

Criminal cases, judicial appointments, 123

Disqualification authority, 312

Fee contracts, judicial review, 165

# JUDICIAL REGULATION OF LEGAL PROFESSION—Cont'd

Inherent powers of court, 41, 42

Self regulation compared, 41

Sua sponte sanctions, 443

Withdrawal from representation, judicial approval, 213, 227

#### JURORS

Attorney contacts, 480

## JUVENILE CLIENTS

Advisor duties, 549

# LAW FIRMS

See Firm Practice, this index

#### LAW STUDENTS

See Admission to Practice, this index

## LAWYER REFERRAL SERVICES

Generally, 114

## LEGAL SERVICES

Professionalism responsibilities for adequacy of access, 36

#### LEGAL SERVICES PLANS

Generally, 114

# LEGISLATIVE BODY REPRESENTATIONS

Generally, 556

#### **LIENS**

Fees for legal services, attorneys' liens for payment, 228

## LIMITED LIABILITY CORPORATIONS

See Firm Practice, this index

## LOYALTY DUTIES

Generally, 195, 312 et seq. Conflicts of Interest, this index Fiduciary Duties, this index

## MALPRACTICE

Generally, 189 et seq.

Appellate practice, 195

Attorney-client relationship element, 116, 190

But-for causation, 192, 193, 203

Case within a case, 194

Contract and tort elements of liability, 193

Contribution, 194

Corporate representation, 211

Criminal defense practice, 197, 203

Damages, 193

Diminished capacity clients, 205

Elements of tort, 191

Ethical violations as malpractice, 196

Evaluator duties, 549

Expert testimony, 195

Fiduciary duty breaches compared, 194

Indemnity, 194

Ineffective assistance of counsel, 198

Insurance, disclosure duties, 194

Judicial immunity, 197

Limited liability business organizations, 89

Litigation malpractice, 193

## References are to Pages

#### MALPRACTICE—Cont'd

Opinion letters, 211

Public defender immunity, 204

Rules violations, 196

Statutes of limitations applicable to claims, 202

Statutes of limitations mistakes, 190

Suit within a suit, 194

Third-party claims, 204 et seq.

Transactional malpractice, 193

Uncollectible judgment defense, 195

## MEDIATION

Attorney roles, 561

## MODEL CODE OF JUDICIAL CONDUCT

See Judges, this index

# MODEL CODE OF PROFESSIONAL RESPONSIBILITY

Generally, 8

Model Rules of Professional Conduct distinguished, 10

State adoptions, 9

# MODEL RULES OF PROFESSIONAL CONDUCT

Generally, 1, 8, 196

Ethics 2000 Commission revisions, 10

Globalization, amendments responding to, 10 Malpractice, rules violations constituting, 196 Model Code of Professional Responsibility dis-

tinguished, 10

Personal moral conflicts, 22

Restatement of the Law Governing Lawyers relationship, 11

State adoptions, 10

Technology, amendments responding to, 10

## MORALITY

See also Ethics, this index

Corporate form, morality issues, 30

Lawyer-client relationship conflicts, 12

Role-differentiated morality, 12

Traditional lawyer roles criticisms, 12

## MULTI-DISCIPLINARY PRACTICE

Firm practice regulation, 90

# MULTI-JURISDICTIONAL ADVERTISING

Generally, 114

## MULTI-JURISDICTIONAL PRACTICE

See also Firm Practice, this index

Conflicts of interest, 345, 374

Discipline, multi-jurisdictional, 87

Unauthorized practice of law, 73

## NEGOTIATIONS

Truthfulness duties, 563

## NONCOMPETITION COVENANTS

Firm practice regulation, 99

## **OBJECTIVES OF REPRESENTATION**

Advocacy duty conflicts, 11

## OFFICER OF THE COURT DUTIES

Confidentiality duty conflicts, 286 et seq. Perjurious clients or witnesses, 303

## **OPINION LETTERS**

Evaluator duties, 549

Malpractice, 211

#### **OPINIONS**

Bar association ethics opinions, 11

Work-product doctrine applicability, 253

#### **PARTIES**

Communications with parties, 461 et seq., 527 Disclosure duties, unrepresented parties to

transactions, 325

Joint defense privilege, co-party conflicts of

interest, 373 Judges, 425, 426

#### **PARTNERSHIPS**

See Firm Practice, this index

#### PERJURY

See Attorney-Client Relationship, this index

## PERSONAL PROPERTY OF CLIENT

Attorney-client privilege, physical evidence in attorney's possession, 255, 260

Duty of attorney to safeguard, 155

Liens to secure legal fees, 228

## PERVASIVE ISSUES

Generally, 1 et seg.

Adversary system, 23

Professionalism, this index

Traditional lawyer roles, morality criticisms,

## **PHOTOGRAPHS**

Work-product doctrine, 268

## PRACTICE OF LAW

Alternative dispute resolution participants, practice of law issues, 575

Firm Practice, this index

Multi-Jurisdictional Practice, this index

Unauthorized Practice of Law, this index

## **PRIVILEGE**

Generally, 232 et seq.

See also Confidentiality Duty, this index

Attorney-Client Privilege, this index

Co-parties, joint defense privilege, 373

Work-Product Doctrine, this index

# PRO-BONO REPRESENTATION OF INDIGENTS

Generally, 126

Law students, 128

## PROFESSIONALISM

Generally, 32 et seq.

Accountability duties, 36

Adequate distribution of legal services, responsibility for, 36

Adversary-orientated system model, 28, 29, 533

Challenge of professionalism, 33

Civility or professionalism codes, 504

614 Index

## References are to Pages

## PROFESSIONALISM—Cont'd

Functional demands, 34

Happiness in practice, 590

Historical development of adversary-orientated system model, 29

Legal services availability, responsibility for, 36 Model Rules of Professional Conduct, this index

Reputation, importance of, 594

Six interrelated values of professionalism, 35

System-oriented model, 23

Telephone etiquette, 599

Traditionalism compared, 33, 37

Zealous advocacy, limits of, 591

## **PROSECUTORS**

Advocacy duties

Generally, 520

Charging decisions, 524

Divulging information to defense, 526

Special responsibilities, 530

Wrongful conviction duties, 527

Argument, 529

Charging decisions, advocacy duties, 524

Disclosing information to defense, 526

Ex parte contacts with represented persons, 527

Extrajudicial speech, 516

Federal prosecutor rules, 528

Immunity, 531

Special responsibilities, 530

Subpoenas against lawyers, 528

Wrongful conviction duties, 527

Zealous advocacy, 522

## PROSPECTIVE CLIENTS

See Attorney-Client Relationship, this index

## PUBLIC DEFENDERS

Conflicts of interest, 377 Malpractice immunity, 204

Refusal of representation, 538

## REASONABLE INQUIRY DUTIES

Discovery, 456

## REFERRAL FEES

See Divisions of Fees, this index

## REFUSAL OF REPRESENTATION

See Attorney-Client Relationship, this index

## REGULATION OF LEGAL PROFESSION

Admission to Practice, this index

Constitutional challenges, 52

Discharge of attorney, 43

Discipline, this index

Federal intervention in state regulation, 48

Fitness to practice standards, 60

Interest on lawyer trust accounts, 150

Judicial Regulation of Legal Profession, this index

Reciprocal discipline by other states, 86

Self-regulation, 8

Trust Accounts, this index

Unauthorized Practice of Law, this index

## REGULATION OF LEGAL VIOLATION

Fee splitting violations, 188

#### REPORTING DUTIES

See also Confidentiality Duty, this index; Disclosure Duties, this index

Child abuse, 280

Ethical conflicts, 81

Judges, 587

Judicial misconduct, 85

Sarbanes-Oxley Act, 284, 553

Tarasoff duties, 274, 277

#### REPRESENTATION

Equality of representation, 32

## REPUTATION

Importance of, 594

Sharp practices, 594

# RESTATEMENT OF THE LAW GOVERNING LAWYERS

Model Rules relationship, 11

#### RESTRICTIVE COVENANTS

Firm practice regulation, 99

#### RETAINERS

Generally, 164

## ROLE-DIFFERENTIATED MORALITY

Generally, 12

#### RULES

See Model Rules of Professional Conduct, this index

## **SANCTIONS**

ABA standards, 84

Contempt authority, 66

Discipline vs procedural sanctions, 446, 458

Discovery abuse, 445, 458

Firm liability for sanctions of attorneys, 445

Frivolous litigation, 443, 446

Motions for sanctions, 442

Rule 11 sanctions, 437, 442, 443

Sua sponte orders, 443

## SARBANES-OXLEY ACT

Disclosure provisions, confidentiality duties conflicts, 284, 553

## SECURITIES LAW

Evaluator duties, 552

#### **SETTLEMENTS**

Ethical standards guideline, 569

Negotiations, truthfulness duties, 563

## SHARP PRACTICES

Generally, 2

Reputation, importance of, 594

## SOLICITATION OF CLIENTS

See Attorney-Client Relationship, this index

## SPLITTING FEES

See Divisions of Fees, this index

# References are to Pages

#### STATE BARS

See also Regulation of Legal Profession, this index

Integrated state bars, enforceable conduct standards, 8

## STATUTES OF LIMITATIONS

Malpractice claims, statutes applicable, 202 Malpractice mistakes, 190

## STRUCTURED SETTLEMENTS

Contingent fees, 177

# THREATS OF CRIMINAL PROSECUTION

Frivolous litigation, 451

## TITLE OPINIONS

Evaluator duties, 553

## TRADITIONALISM

Morality criticisms, 12

Professionalism compared, 33, 37

## TRUST ACCOUNTS

Generally, 144

Accounting duties, 147, 180

Audit trails, 148

Common accounts for multiple clients, 147 Contingent fees settlement through, 178

Deposits, 147

Discipline for rule violations, 145

Interest on lawyer trust accounts, 150

Ledger accounts, 147

Misappropriation, 145

Nature of trust obligations, 145

NOW accounts interest, 150

Recordkeeping, 149

Safeguarding deposits, 147

Segregation of separate clients' funds, 147

Withdrawal records, 149

### TRUST FUND

Discipline for misuse, 80

## UNAUTHORIZED PRACTICE OF LAW

Generally, 64 et seq.

Antitrust law conflicts, 49

Appearances, 72

Arbitration proceedings, 73

## UNAUTHORIZED PRACTICE OF LAW

-Cont'd

Corporate agents, 72

Definition of practice, 69

Do-it-yourself publications, 71

Form books and kits, 69

Internet activities, 70

Law student practice rules, 70

Multi-jurisdictional practice, 73

## WITHDRAWAL OF ATTORNEY

Generally, 212

Confidentiality duties and noisy withdrawals, 285

Criminal defense practice, 227, 541

Discharged attorney, withdrawal duty, 222,

Duties of withdrawing attorney, 216

Ethical grounds, 216

Fee disputes, 215

Good cause, 215

Judicial approval, 213, 227

Perjurious client cases, 300

Uncooperative clients, 213

#### WITNESSES

Abusive cross-examination

Civil litigation, 489

Criminal defense practice, 536

Communications, 461 et seq., 486, 487

Cross-examination, criminal defense practice,

Expert witnesses, 195, 487

Extrajudicial relationships, 426

Fees/bribery, 489

Lawyers testifying as, 501

Perjurious witnesses, officer of the court duties, 303

## WORK-PRODUCT DOCTRINE

Generally, 251

Attorney-client privilege distinguished, 251

Inadvertent receipt of protected material, 558

Opinions in, 253

Photographs, 268

## ZEALOUS ADVOCACY

See also Advocacy duties, this index