

TABLE OF CONTENTS

ACKNOWLEDGMENTS	III
INTRODUCTION.....	V
ABOUT THE AUTHOR	XI
Chapter I. Analytical and Exam Approach.....	1
A. The Common Law and the Model Penal Code [§ 1].....	1
B. Types of Exam Questions [§ 2].....	1
1. Type # 1 [§ 3]	1
2. Type # 2 [§ 4]	1
3. Type # 3 [§ 5]	2
Chapter II. The Purposes and General Requirements of the Criminal Law.....	3
A. Purposes [§ 10]	3
1. Punishment (Retribution) [§ 11]	3
2. Deterrence—Specific and General [§ 12].....	4
3. Rehabilitation [§ 13]	4
4. Safety [§ 14].....	4
5. The General Purposes of the Criminal Law.....	4
6. Comparison with Civil Law [§ 16]	4
B. Sources of Criminal Law [§ 17]	5
C. “The Principle of Legality” [§ 18]	5
1. The Problem of Judge-Made Criminal Law: Retroactivity an Example [§ 19].....	6
D. Constitutional Limitations [§ 20]	7
1. No Ex Post Facto Laws—A Limitation on the Creation of Criminal Law [§ 21]	8
2. No Bills of Attainder—Another Limitation on the Creation of the Criminal Law [§ 23].....	8
3. Due Process—A Limit on the Application of the Criminal Law [§ 26]	9
a. The Incorporation Doctrine [§ 27].....	9
b. Basic and Substantive Due Process—Fundamental Fairness [§ 29]	10
c. Procedural Due Process e.g., Burden of Proof [§ 31].....	11
d. What the Prosecutor Must Prove [§ 33].....	13
4. Void for Vagueness—A Limit on the Creation and Application of the Criminal Law [§ 35]	13
5. How a Constitutionally Vague Statute May Survive a Void for Vagueness Challenge [§ 38].....	16

TABLE OF CONTENTS

6.	Cruel and Unusual Punishment (the Eighth Amendment Prohibition) [§ 40]	16
a.	The Mentally Disabled.....	17
b.	Minors	18
c.	Execution Methods.....	18
7.	The Cruel and Unusual Punishment Clause and Non-Death Penalty Forms of Punishment	18
Chapter III. Crime Components.....		19
A.	Basic Principles [§ 42]	19
B.	Brief Overview	19
1.	The Actus Reus [§ 43]	19
2.	Mens Rea [§ 45].....	19
3.	Result [§ 47].....	20
4.	Concurrence [§ 49]	20
C.	The Actus Reus Requirement [§ 51]	20
1.	The Voluntary Act Requirement [§ 52]	20
a.	“Voluntariness” Does Not Equal “Blameworthiness” [§ 53].....	21
b.	Exception: When There Is a Voluntary Act in the Course of Involuntary Conduct [§ 59].....	23
c.	The Model Penal Code [§ 60]	24
(i)	Model Penal Code Example [§ 61].....	24
d.	Constitutional Requirement [§ 62]	24
2.	Omissions [§ 65]	25
a.	A Legal Duty to Act [§ 67]	25
(i)	Statute [§ 68].....	26
(ii)	Contract [§ 71].....	27
(iii)	Relationship [§ 75]	28
(iv)	Assumption of Voluntary Care and Seclusion [§ 79]	29
(v)	Peril [§ 81]	29
(a)	Prerequisites to Liability for Failure to Comply with a Legal Duty to Act [§ 83].....	30
(1)	Capacity to Assist [§ 84]	31
(2)	No Substantial Danger to the Person Assisting [§ 86]	31
(vi)	The Model Penal Code [§ 88].....	31
D.	Mens Rea—General Principles [§ 89].....	32
1.	Types of Mens Rea [§ 90].....	32
a.	Purposeful [§ 91]	33
b.	Knowing [§ 93].....	33
c.	Reckless [§ 95]	35
d.	Negligent [§ 97]	36
(i)	The Defendant’s Empty Head [§ 98]	36
e.	Distinguishing Criminal from Civil Negligence [§ 100] ...	37

TABLE OF CONTENTS

f.	Common Point of Confusion: Are the More Heinous Mental States Associated with the More Heinous Crimes? [§ 101]	38
g.	Specific and General Intent [§ 102]	38
(i)	Commonly Tested Specific Intent Crimes	39
(ii)	Commonly Tested General Intent Crimes	39
h.	Comparing the Mens Rea of Completed Crimes and Attempt Crimes [§ 105].....	40
i.	Malice [§ 106]	40
j.	“Transferred Intent” [§ 108]	42
k.	Strict Liability [§ 110].....	42
l.	Crimes with No Stated Mens Rea [§ 111].....	42
m.	The Model Penal Code [§ 113]	44
n.	Crimes with More than One Mens Rea [§ 114].....	44
E.	Concurrence Requirement [§ 116]	44
F.	Causation [§ 118]	45
1.	Causation in Fact [§ 119]	46
a.	Simultaneous Causes (“Substantial Factor” Test) [§ 120].....	46
b.	Hastening an Inevitable Result [§ 122]	47
2.	Proximate Causation (“Legal Causation”) [§ 126]	48
a.	Subsequent Negligent Medical Care and Independent Intervening Factors [§ 127]	50
b.	Intended Consequences [§ 129]	51
3.	The Year and a Day Rule—A Limit on Prosecutions for Homicide [§ 131].....	51
4.	The Model Penal Code [§ 133].....	52
	Chapter IV. Crimes	53
A.	Background [§ 134]	53
B.	Inchoate Crimes [§ 135].....	53
1.	Solicitation [§ 136]	53
a.	The Problem of a Failure to Communicate [§ 138]	54
b.	The “Primary Actor” Requirement [§ 139]	55
c.	The “Innocent Instrumentality” Rule [§ 141]	55
d.	Solicitation and Accomplice Liability [§ 143]	56
e.	The Mens Rea [§ 144].....	56
f.	The Model Penal Code [§ 146]	56
g.	Defense—Abandonment	56
2.	Attempt [§ 148]	57
a.	How Minimal an Act Is Enough? [§ 149]	58
b.	The Overt Act Requirement [§ 150]	59
c.	Attempt Crimes and the Innocent Instrumentality Issue [§ 151].....	61
d.	The Mens Rea Requirement [§ 153].....	62
e.	The “Attempt Family of Crimes” [§ 155]	62

TABLE OF CONTENTS

f.	The Model Penal Code [§ 156]	63
g.	Punishment [§ 156.5]	63
3.	Conspiracy [§ 157]	63
a.	The Actus Reus [§ 159]	64
b.	The Mens Rea [§ 160.5]	65
c.	The Number of Conspiracies [§ 161]	66
d.	The Problem of the Lawful Supplier [§ 162]	66
e.	Bilateral Versus Unilateral Conspiracies [§ 165]	68
f.	The Multiple Conviction Requirement [§ 167]	69
g.	The Unknown Conspirator [§ 168]	69
h.	Types of Conspiracies [§ 170]	70
(i)	The “Wheel” Conspiracy [§ 171]	70
(ii)	The Chain Conspiracy [§ 172]	70
i.	Liability for the Acts of Others—The “Pinkerton” Rule [§ 175]	70
j.	Wharton’s Rule (The “Plus One” Requirement) [§ 177]	72
k.	Legislative-Exemption Rule [§ 178.5]	73
l.	The Punishment for Conspiracy [§ 179]	73
m.	The Model Penal Code [§ 179.5]	73
n.	Merger [§ 180]	74
(i)	Exception to the Merger Doctrine [§ 183]	75
(ii)	Merger Under the Model Penal Code [§ 185]	75
4.	Possession [§ 187]	76
a.	Actual and Constructive Possession [§ 188]	76
b.	Joint Versus Exclusive Possession [§ 189]	77
C.	Crimes Against the Person	78
1.	Homicide [§ 192]	78
a.	Elements of Murder [§ 193]	79
(i)	“Unlawful” [§ 194]	79
(ii)	“Killing” [§ 198]	79
(iii)	“Of Another Human Being” [§ 200]	80
(iv)	“With Malice Aforethought” [§ 202]	80
b.	The Four Types of Common Law Murder [§ 203]	81
c.	Culpability and Common Law Murder [§ 207.5]	83
d.	Statutory Degrees of Murder [§ 208]	84
e.	Felony Murder at Common Law [§ 209]	84
(i)	The “D.I.D.I.” Limitations of Felony Murder [§ 211]	85
(a)	Direct [§ 212]	85
(b)	Inherently Dangerous Felonies [§ 214]	86
(1)	“Inherently Dangerousness” Tests [§ 217]	87
(c)	Independent Felony [§ 219]	87
(ii)	Felony Murder Liability When a Non-Felon Kills [§ 225]	89
(a)	The Agency Theory (Majority Rule) [§ 226]	89
(b)	The Proximate Cause Theory [§ 228]	89

TABLE OF CONTENTS

(c)	The Limited Proximate Cause Theory	
	[§ 230].....	91
f.	Manslaughter [§ 232].....	91
	(i) Provocation (Heat of Passion) Manslaughter	
	[§ 233]	92
	(ii) Imperfect Justification Manslaughter [§ 237]	96
	(iii) Recklessness or Negligence Manslaughter	
	[§ 241]	98
	(iv) Misdemeanor (“Bad Act”) Manslaughter [§ 243]	98
	(v) The Model Penal Code on Criminal Homicide	
	[§ 244]	99
2.	Assault and Battery [§ 245].....	100
	a. Assault [§ 246].....	100
	b. Battery [§ 249].....	101
	c. The Model Penal Code [§ 251].....	103
3.	Robbery [§ 253].....	103
4.	Rape [§ 255].....	104
	a. Carnal Knowledge [§ 256]	105
	b. By a Man [§ 257]	105
	c. Of a Woman [§ 258].....	105
	d. “By Force or Fear and Against Her Will” [§ 259].....	105
	e. Intent [§ 260]	105
5.	False Imprisonment [§ 262]	106
	a. “Unlawful Confinement” [§ 263]	106
	b. “Against Her Will” [§ 264]	106
	c. “Without the Freedom to Leave” [§ 265].....	106
6.	Kidnapping [§ 267].....	107
	a. “Confinement” [§ 268]	107
	b. “Of a Person” [§ 268.5]	107
	c. “Against Her Will” [§ 269]	107
	d. “Transported and/or Secretly Confined” [§ 270].....	107
	e. Aggravated Kidnapping.....	108
7.	Mayhem [§ 271].....	108
	a. “Intentional” [§ 272].....	108
	b. “Disfigure, Dismember, or Disable” [§ 273].....	108
	c. “Another Human Being” [§ 275].....	108
D.	Crimes Against Property.....	109
	1. Arson [§ 276]	109
	a. Model Penal Code [§ 278]	110
	2. Larceny [§ 280].....	110
	a. “Trespassory” [§ 281]	111
	b. Taking and Carrying Away [§ 282].....	111
	c. The Personal Property of Another [§ 284]	112
	d. Intent to Deprive the Other of That Property	
	Permanently [§ 286].....	112
	e. Larceny by Trick [§ 288].....	113

TABLE OF CONTENTS

3.	Burglary [§ 290]	113
a.	“Breaking and Entering” [§ 291]	114
b.	“Dwelling House of Another” [§ 292]	114
c.	“At Nighttime” [§ 293]	114
d.	“Intent to Commit a Felony Therein” [§ 294]	114
4.	Malicious Mischief [§ 296]	115
E.	Statutory Crimes	115
1.	Embezzlement [§ 297]	115
2.	False Pretenses [§ 299]	116
a.	Comparison with Embezzlement and Larceny by Trick [§ 300]	116
3.	Forgery [§ 301.5]	117
4.	Receiving Stolen Property [§ 302]	117
a.	“Knowingly” [§ 303]	117
b.	“Receiving Stolen Property” [§ 304]	117
c.	“Intent to Permanently Deprive the True Owner of the Property” [§ 305]	117
5.	Theft [§ 307]	118
6.	Statutory Rape [§ 308]	119
7.	Incest [§ 309]	119
	Chapter V. Vicarious Liability (or “Complicity”).....	121
A.	Vicarious Liability [§ 310]	121
1.	Comparing Conspiracy and Accomplice Liability [§ 310.5]	121
B.	Conspiracy Liability [§ 311]	121
C.	Accomplice Liability [§ 312]	121
1.	Types of Accomplices [§ 312]	122
a.	Types of Principals [§ 313]	122
(i)	Principal in the First Degree [§ 314]	122
(ii)	Principal in the Second Degree [§ 315]	122
b.	Types of Accessories [§ 316]	123
(i)	Accessory Before the Fact [§ 317]	123
(ii)	Accessory After the Fact [§ 318]	123
c.	Significance of Distinctions at Common Law [§ 319]	123
2.	The Scope of Responsibility [§ 320]	124
a.	“Long-Arm” (Vicarious) Accomplice Liability [§ 322]	124
3.	Accomplice Liability Mens Rea [§ 323]	125
4.	The Legislative Exemption Rule [§ 324]	125
	Chapter VI. Defenses.....	127
A.	Defenses to Inchoate Crimes [§ 326]	127
1.	Defenses to Solicitation Offenses [§ 326.5]	127
2.	Defenses to Attempt [§ 327]	128
a.	Impossibility [§ 328]	128
(i)	Legal Impossibility [§ 329]	128
(ii)	Factual Impossibility [§ 330]	129
(iii)	True Legal Impossibility [§ 333]	130

TABLE OF CONTENTS

(iv)	Comparison: Inherent Legal Impossibility [§ 335]	131
b.	Abandonment [§ 336]	131
3.	Defenses to Conspiracy [§ 337]	132
a.	Impossibility [§ 338.1]	132
b.	Abandonment and Withdrawal [§ 338.2]	132
4.	Abandonment of Accomplice Status [§ 339]	132
B.	Defenses Negating an Element of the Crime [§ 340]	133
1.	Mistakes [§ 340.5]	133
a.	Mistake in Fact [§ 341]	133
b.	Mistake in Law [§ 342]	133
c.	Mistake in Justification [§ 343]	133
d.	Analysis of Mistake in Fact [§ 344]	133
(i)	Specific Intent Crimes [§ 345]	134
(ii)	General Intent Crimes [§ 346]	134
(iii)	Why Use Different Mistake Tests for General and Specific Intent Crimes? [§ 347]	135
(iv)	Which Mens Rea? [§ 348]	135
(v)	A Simplified Mistake in Fact Analysis [§ 352]	136
e.	Analysis of Mistake in Law [§ 354]	136
(i)	Exceptions [§ 355]	137
2.	Intoxication [§ 359]	138
a.	Voluntary Intoxication [§ 360]	138
b.	Voluntary Intoxication and Insanity [§ 364]	139
c.	Involuntary Intoxication [§ 365]	140
d.	The Model Penal Code [§ 367]	140
C.	Affirmative Defenses [§ 369]	141
1.	Justification and Excuse Compared [§ 370]	141
a.	Coercion [§ 371]	141
b.	Efficient Harm Avoidance [§ 372]	142
2.	Justification [§ 374]	142
a.	Self-Defense [§ 375]	142
(i)	Actual and Reasonable Belief [§ 376]	142
(ii)	Proportional Response [§ 377]	142
(iii)	Non-Aggressor [§ 379]	143
(iv)	Imminence [§ 383]	144
(v)	Use of Lethal Force in Self-Defense [§ 385]	144
(vi)	The Castle Doctrine [§ 386]	145
(vii)	The Model Penal Code [§ 388]	145
(viii)	Lethal Force Under the MPC [§ 389]	145
b.	Mistake in Justification [§ 390]	146
c.	The Battered Woman's Syndrome [§ 392]	147
d.	The Battered Child Syndrome [§ 393]	148
e.	The Defense of Law Enforcement [§ 394]	149
(i)	Resisting an Unlawful Arrest [§ 396]	149
f.	Defense of Others [§ 397]	149
(i)	The "Family/Employment Rule" [§ 398]	150

TABLE OF CONTENTS

(ii)	The “Alter Ego” Rule [§ 399]	150
(iii)	The Model Penal Code [§ 401]	150
g.	Defense of Property [§ 402]	151
(i)	Defense of Habitation [§ 403]	151
(ii)	Defense of Property with Mechanical Devices— e.g., Spring Guns [§ 406]	153
(iii)	The Model Penal Code [§ 408]	154
h.	The Necessity (or “Choice of Evils”) Defense [§ 410]	155
(i)	Mistake in Necessity [§ 411]	158
(ii)	The Model Penal Code [§ 413]	158
3.	Excuse [§ 415]	158
a.	Insanity [§ 416]	159
(i)	Relevant Time [§ 417]	159
(ii)	Waiver [§ 418]	159
(iii)	Punishment/Treatment [§ 419]	160
(iv)	The M’Naghten Test [§ 421]	160
(v)	The “Irresistible Impulse” Test [§ 423]	162
(vi)	Model Penal Code [§ 425]	162
(vii)	Guilty but Mentally Ill [§ 427]	163
(viii)	Insanity Versus Incompetency to Proceed [§ 428]	163
(a)	Insanity [§ 428.1]	163
(b)	Incompetency to Proceed [§ 428.2]	164
b.	Duress [§ 429]	164
(i)	The Model Penal Code [§ 431]	165
c.	Entrapment [§ 433]	166
(i)	Tests for Entrapment [§ 434]	166
(a)	The Subjective Test [§ 435]	166
(b)	The Objective Test [§ 436]	167
(c)	The Model Penal Code [§ 439]	169
d.	Infancy or Immaturity [§ 440]	169
(i)	The Model Penal Code [§ 442]	170
e.	Diminished Capacity [§ 443]	170
(i)	The Model Penal Code [§ 444]	170
	Answers to the Multiple Choice Questions	171
	Practice Essay Questions and Answers	179
	Short Answer Questions and Answers	205
	Appendix: Selected Provisions of the Model Penal Code	209
	TABLE OF CASES	233
	TABLE OF THE MODEL PENAL CODE	235
	INDEX	237