## TABLE OF CONTENTS

	THORS' ACKNOWLEDGEMENTS	iii
	EFACE	V
Ack	KNOWLEDGEMENTS	X
		xxiii
TAE	BLE OF STATUTES AND REGULATIONS	xlv
PA	RT ONE. Access to Health Care: Rights, Duties, and Enforcement	1
СН	IAPTER 1. Barriers to Access and the Right to Health Care	2
1	Health Insurance and the Uninsured	3
	The Consequences of Being Uninsured	10
3.	A Right to Health Care?	14
	IAPTER 2. The Common Law: From "No Duty of Care" to Limited Social Responsibility	17
1	A Brief Overview of American Common Law	17
	The Basis of the "No Duty" Principle	19
۷.	Hurley v. Eddingfield	19
	Notes	20
3.	Termination and Formation of the Doctor-Patient Relationship: "Undertaking" and "Abandonment"	21
	Ricks v. Budge	21
	Medical Ethics as the Basis of a Duty of Care	25
5.	The Evolution of the "No Duty of Care" Principle Under the	
	Common Law	26
	Campbell v. Mincey Notes	$\frac{26}{31}$
6.	State Efforts to Impose Duties to Provide Emergency Medical Care	41
	Thompson v. Sun City Community Hospital, Inc.  Notes	41 48
СН	IAPTER 3. Federal Legislative Reform of the "No Duty" Principle: The Emergency Medical Treatment and Labor Act (EMTALA)	50
1	Turtus Justian	E0
	Introduction The EMTALA Statute and Implementing Regulations	50 54
۷.	The Text of EMTALA (Social Security Act § 1867; 42 U.S.C.	<b>9</b> 4
	§ 1395dd)	54
	Notes	59

3.	The Duty to Provide an "Appropriate" Screening  Power v. Arlington Hospital Association	61 61
	Summers v. Baptist Medical Center Arkadelphia  Notes	66 70
4.	What Does It Mean to "Come to" the Hospital's Emergency Department?	76
5.	The Duty to Stabilize Persons With Emergency Medical Conditions	80
	In the Matter of Baby "K" Notes	80 87
6.	The Duty to Stabilize and Furnish a Medically Appropriate Transfer	87
	Cherukuri v. Shalala  Note	87 94
	The Duties of Transferee Hospitals	95
8.	±	96
9.	How Does the EMTALA Stabilization Duty Apply to Inpatients?	99
	Patients' Obligations to Pay for Services	107
	Caps on Damages	107
12.	Federal Enforcement of EMTALA Obligations	112
СН	APTER 4. Civil Rights Law and Access to Health Care	114
1	Prohibiting Discrimination on the Basis of Race and National	
1.	Prohibiting Discrimination on the Basis of Race and National Origin by Recipients of Federal Financial Assistance Under	114
	Origin by Recipients of Federal Financial Assistance Under Title VI of the 1964 Civil Rights Act	114
2.	Origin by Recipients of Federal Financial Assistance Under	114 125
2.	Origin by Recipients of Federal Financial Assistance Under Title VI of the 1964 Civil Rights Act	
2.	Origin by Recipients of Federal Financial Assistance Under Title VI of the 1964 Civil Rights Act	
2.	Origin by Recipients of Federal Financial Assistance Under Title VI of the 1964 Civil Rights Act	125
2.	Origin by Recipients of Federal Financial Assistance Under Title VI of the 1964 Civil Rights Act	125 140
2.	Origin by Recipients of Federal Financial Assistance Under Title VI of the 1964 Civil Rights Act	125 140 140
2.	Origin by Recipients of Federal Financial Assistance Under Title VI of the 1964 Civil Rights Act	125 140 140 140
2.	Origin by Recipients of Federal Financial Assistance Under Title VI of the 1964 Civil Rights Act  Gender Discrimination and Access to Health Services	125 140 140 140
2.	Origin by Recipients of Federal Financial Assistance Under Title VI of the 1964 Civil Rights Act  Gender Discrimination and Access to Health Services  Prohibiting Discrimination on the Basis of Disability: The Americans With Disabilities Act and the Realization of Health Care as a Public Accommodation  a. The Statutory Text of the ADA  Americans With Disabilities Act: Selected Text  Notes  b. Health Care as a Public Accommodation: The Obligation of Health Professionals to Treat Qualified Persons With Disabilities	125 140 140 140 142
2.	Origin by Recipients of Federal Financial Assistance Under Title VI of the 1964 Civil Rights Act  Gender Discrimination and Access to Health Services	125 140 140 142 142
2. 3.	Origin by Recipients of Federal Financial Assistance Under Title VI of the 1964 Civil Rights Act  Gender Discrimination and Access to Health Services  Prohibiting Discrimination on the Basis of Disability: The Americans With Disabilities Act and the Realization of Health Care as a Public Accommodation  a. The Statutory Text of the ADA  Americans With Disabilities Act: Selected Text  Notes  b. Health Care as a Public Accommodation: The Obligation of Health Professionals to Treat Qualified Persons With Disabilities  Bragdon v. Abbott	125 140 140 142 142 142
<ol> <li>2.</li> <li>3.</li> </ol>	Origin by Recipients of Federal Financial Assistance Under Title VI of the 1964 Civil Rights Act  Gender Discrimination and Access to Health Services	125 140 140 142 142 142 155 164
<ol> <li>2.</li> <li>3.</li> </ol>	Origin by Recipients of Federal Financial Assistance Under Title VI of the 1964 Civil Rights Act  Gender Discrimination and Access to Health Services  Prohibiting Discrimination on the Basis of Disability: The Americans With Disabilities Act and the Realization of Health Care as a Public Accommodation  a. The Statutory Text of the ADA  Americans With Disabilities Act: Selected Text  Notes  b. Health Care as a Public Accommodation: The Obligation of Health Professionals to Treat Qualified Persons With Disabilities  Bragdon v. Abbott  Notes  Immigrants and Access to Health Care	125 140 140 142 142 142 155
2. 3. 4. CH	Origin by Recipients of Federal Financial Assistance Under Title VI of the 1964 Civil Rights Act  Gender Discrimination and Access to Health Services	125 140 140 142 142 142 155 164

PA	RT TWO.	Health Care Financing	175
СН	of Healt	. Health Care Costs and the Rise and Evolution th Insurance: From "Cash and Carry" to the Protection and Affordable Care Act	176
1.	Health Car	re Costs and the Search for Solutions	176
2.	The Evolut	tion of Health Insurance and Health Insurance Law	197
		olution of Private Health Insurance	197
		re and Medicaid	202
		lving State Regulatory Framework	205
		ployee Retirement Income Security Act (ERISA)	209
		Costs and New Models of Health Insurance	210
4.		t Protection and Affordable Care Act (ACA)	218
		elude to Reform	218
		d Reform Happen?	
	c. Structu	re and Elements	223
СН	APTER 7	. Defining Insurer Obligations and Remedies	
		State Law	241
1.	Remedies f	for Bad Faith Breach of Contract in Denying Coverage	241
	Albert H. V	Vohlers & Co. v. Bartgis	241
0		ATT 111 T	
2.		s of Health Insurance Coverage	
		alifornia Physicians Services	
СН	APTER 8	. The Employee Retirement Income Security	250
	Act (ER	ISA)	259
1	T 4		250
		ond Statutory Structure	259 260
۷.	Note: Health	h Benefit Plan Administration and the Cast of Characters	$\frac{260}{263}$
3.		ary Duty of Information and Disclosure	264
-		American Family Mutual Insurance Company	$\overline{264}$
	Notes		
4.		air Review of Claims	
		ry and Regulatory Structure	293
	b. When F	Has a Plan Administrator Discharged Its Full and Fair	007
	Kevie Shalbu	w Obligations?	297
	Casin	to LLC Group Health Benefit Plan	297
	Notes		$\frac{201}{302}$
5.	Health Ber	nefit Plan Design and the ERISA Settlor Function	306
	$McGann\ v.$	H & H Music Co.	306
		TZ	312
	Jones v. Th	ne Kodak Medical Assistance Plan	$\frac{314}{316}$
6		view of Fiduciary Decisions	321
υ.		ction	
	Fireston	the Tire & Rubber Co. v. Bruch	323

6.	Judicial Review of Fiduciary Decisions—Continued	
	b. Fiduciary Conflict of Interest	330
	Metropolitan Life Insurance Company v. Glenn	
	Notes	
	c. Claims Denials Involving the Medical Necessity of Care	341
	Bedrick v. Travelers Insurance Company	341
	Notes	346
	Note: When Is Health Care Medically Necessary?	
	d. Claims Denials Involving Uncovered and Out-of-Network Care	
	Krauss v. Oxford Health Plans, Inc	358
	Note	366
7.	ERISA and Preemption of State Law	371
	a. The Meaning of "Relate to"	372
	New York State Conference of Blue Cross & Blue Shield Plans	
	v. Travelers Insurance Company	374
	Notes	381
	Note: ERISA Preemption and State Health Reform Efforts	383
	b. ERISA's Insurance Saving Clause	
	Metropolitan Life Insurance Company v. Massachusetts	392
	Notes	397
	c. The Extraordinary Problem of ERISA's Complete Preemption	
	of State Law Remedies	410
	Pilot Life Insurance Co. v. Dedeaux	410
	Notes	417
	Note: Enforcing Federal Insurance Protections in the Non-ERISA	400
	Group and Individual Health Insurance Markets	422
CH	APTER 9. Private Health Insurance and Coverage Dis-	
<b>-</b>	crimination	426
	VI	
		400
	Introduction	426
2.		427
	Equal Employment Opportunity Commission Interim Enforcement	
	Guidance on the Application of the Americans With Disabilities	
	Act of 1990 to Disability-Based Distinctions in Employer Pro-	40-
	vided Health Insurance	427
	Doe v. Mutual of Omaha Insurance Company	433
0	Notes	441
	Age Discrimination and Health Benefits for Retired Workers	444
4.	Non-Discrimination and the Affordable Care Act	448
ΩIJ	ADDED 10 M.J.	450
CH	APTER 10. Medicare	450
1.	Introduction	450
	Defining the Size, Scope, and Limits of the Social Contract	451
	a. Program Structure	452
	b. Eligibility	456
	c. Benefits and Coverage	457
	Note: Making Part D Work for Low-Income Beneficiaries	460
	d. Provider and Supplier Participation and Payment	

2.	Defining the Size, Scope, and Limits of the Social Contract— Continued	
	e. Coverage Limitations and Exclusions; National Coverage De-	
	terminations and Local Coverage Decisions	463
	f. Medicare Administration and Appeals	463
3.	Defining the Scope and Limits of the Medicare Entitlement	465
	Heckler v. Ringer	465
	Notes	480
	Hays v. Sebelius	485
1	Notes	489 489
4.	Papciak v. Sebelius	490
	Note	$\frac{495}{495}$
СН	APTER 11. Medicaid	496
_		
	Introduction	496
2.	Financing Health Care for Medically Indigent Persons, Pre-Medicald	497
3.	Medicaid's Importance to the Health Care System	499
	Medicaid's History	500
_,	a. Enactment	500
	b. Structure, Achievements, and Challenges	501
5.	Medicaid and the Legal Basis of Statutory Entitlement	509
6.	Judicial Interpretation of State Medicaid Coverage Obligations	514
	Curtis v. Taylor	$514 \\ 515$
7.	Medicaid and Access to Health Care: The Limits of Private Enforcement of Federal Obligations	523 523
	a. Introductionb. Does Medicaid Create Private Rights Legally Enforceable Under § 1983?	523 524
	c. Can Private Parties Use the Supremacy Clause to Enforce States' Federal Medicaid Obligations? Medicaid's "Equal Access" Statute and Douglas v. Independent Living Center of Southern California	532
	Douglas v. Independent Living Center of Southern California, Inc.	537
	Notes	545
8.	Medicaid's Interaction With the Americans With Disabilities Act	552
	Olmstead v. L. C.	552
	Note: The Pathologies of Fragmented Financing, Risk–Bearing and Pay-	567
	ment	577
СН	APTER 12. Paying for Health Care: Conceptual and Structural Considerations	582
	Introduction	582
2.	A Brief History of (Problematic) Payment in the United States	591
	a. Retrospective Cost–Based Hospital Reimbursement	591
	b. Prospective DRG-Based Payment for Inpatient Hospital Services	595

2.	A Brief History of (Problematic) Payment in the United States—Continued	
	c. Retrospective Charge–Based Physician Reimbursement	613
	d. Prospective RBRVS–Based Physician Payment	615
3.	The Inexorable Tradeoffs of Payment Methods	623
	a. Aggregation–Disaggregation of Units of Payment	623
	b. Aggregation–Disaggregation of Providers	627
	c. Coordination–Fragmentation of Payers	628
4.	Reimbursement as Social Policy: Public Goods (e.g., Education);	
	Stand-By Capacity (e.g., Trauma Units); and Distributive Jus-	
	tice (e.g., a Safety Net)	633
PO	STSCRIPT. The Patient Protection and Affordable Care Act in the United States Supreme Court	637
PA	RT THREE. Health Care Quality and Law: Defining Standards and Structuring Enforcement and Accountability	647
СН	APTER 13. Overview	648
	AI IEIL 19. OVEIVIEW	040
СН	APTER 14. Medical Malpractice and Health Care Quality	658
	What Does Medical Malpractice Tell Us About Health Care Quality?	658
2.	From Physician Authority to Egalitarian Social Contract and	
	Market Competition: Medical Malpractice Law and the Trans-	
	formation of the Professional Standard of Care	661
	a. The Role of Law in the Age of Expanding Medical Authority:	000
	c.1870 to c.1960	662
	b. The Professional Standard as "Established" or "Customary"	cco
	Practice	662 664
2	c. The Locality Rule	004
υ.	dard" of "Acceptable Care"	665
	a. Introduction	665
	b. The Assault on the Locality Rule and the Concept of a Nation-	000
	al Standard	667
	Hall v. Hilbun	668
	Notes	674
4.		
	Thought" or "Respectable (or Reputable) Minority" Doctrine	680
	Jones v. Chidester	$\begin{array}{c} 680 \\ 682 \end{array}$
5	Overriding the Professional or Industry Standard of Care	683
υ.	The T.J. Hooper	683
	Notes	684
6.	When Has a New Standard Emerged? The "Best Judgment Rule"	
	and the Problem of New Knowledge and Technologies	686
	Washington v. Washington Hospital Center	688
	Note: Medical Expert Testimony	691 691
	11000, Micaioui Dapoi o Iconinion,	001

7.	Clinical Practice Guidelines and the Standard of Care  Diaz v. New York Downtown Hospital	699 704
8.	Challenging Professional and Industry Standards: The "Equally Well–Informed Expert" and "Available and Proven Scientific	104
	Safeguards' Doctrines	706
	United Blood Services v. Quintana	706
	Note	710
9.	Should the Professional Standard of Care Be Adjusted to Account for Patients' Economic Circumstances?	711
	Murray v. UNMC Physicians	711
	Notes	720
СН	APTER 15. Informed Consent to Treatment	730
_		=00
	Introduction	730
2.	The Rise of a Patient–Oriented Standard of Informed Consent	732
	Canterbury v. SpenceNotes	$732 \\ 739$
3.	Informed Consent and Disclosure of Physician–Specific Qualifications and Risks	
	Notes	$745 \\ 749$
4	Conflicts of Interest and the Physician's Fiduciary Duty	751
т.	Moore v. The Regents of the University of California	751
	Notes	754
СН	APTER 16. The Confidentiality of Health Information	
<b>C11</b>	and Health Information Privacy	756
1.	Introduction	756
	MacDonald v. Clinger	757
ດ	Notes	761 $762$
۷.	Health Information Privacy: The HIPAA Privacy Rule Notes	762 768
СН	APTER 17. Medical Liability and the Politics of Legal	
	Change	770
1	Introduction	770
	What Is the Nature and Extent of the Medical Liability Problem?	771
3	Fixing the Medical Liability "Crisis"	778
	Does Health Care Quality Improvement Affect Medical Liability	110
	Risk?	782
ъ.	Enterprise Liability	784
	ity and the Evolution of the American Health Care System  Notes	784 788
СН	APTER 18. Hospitals and Health Care Quality	789
<b>U11</b>	Al 121 10. Hospitals and Health Care Quanty	109
1.	Introduction	789
	IIIti ouuctioii	100

3.	Hospitals' Institutional Structure and Idealized Self-Image: The Rival Visions of Private Workshop and Community Responsibil-	<b>5</b> 04
4.	ity	791
5	Superior  Non–Employee Physicians and the Expanding Concepts of Agency	794
Ο.	and Nondelegable Duty	796
	Jackson v. Power	797
	Notes	801
6.	The Rise of Hospital Corporate Liability	803
	a. The Scope of Corporate Liability and the Structure of Hospital Governance	803
	b. The Hospital's Duty to Monitor Ongoing Treatment Provided	
	by Independent Physicians With Staff Privileges	805
	Darling v. Charleston Community Memorial Hospital	805
	Notes	807
	c. Corporate Interference With Appropriate Medical Care	811
	Muse v. Charter Health Care	811
_	Notes	818
7.	The Charitable Immunity Doctrine and Contractual "Releases"	819
	Tunkl v. Regents of University of Cal	819 823
8	Structuring the Hospital Peer Review Process: State–Law Immu-	020
0.	nity, Privilege, and Regulation	828
	Bryan v. James E. Holmes Regional Medical Center	829
	Notes	839
9.	Substantive Grounds for Adverse Hospital Action	842
	a. Negative Categorical Exclusions: Race and Non–M.D. Providers	842
	b. Functional Categorical Exclusions	843
	c. Individual Performance: Medical Incompetence, Inability to	
	Work With Others, and Other Risk-Creating Grounds	844
СН	APTER 19. Licensure, Accreditation and Certificate of	
	Need	849
1.	Licensure and Accreditation	849
	a. Introduction	849
	b. Licensure Standards and Enforcement	855
	González–Droz v. González–Colon	855
	Notes	
2.	Certificate of Need	877
	Yakima Valley Memorial Hospital v. Washington State Department of Hospital	070
	ment of Health Notes	879 883

<b>CH</b>	APTER 20. Payers and Health Care Quality: Awakening the Sleeping Giant	88
1	Introduction: Old Concerns, Old Techniques, and the Rise of	
	"Value-Based Purchasing"	88
2	Legal Issues in Value–Based Purchasing	89
۵.	a. Health Information Technology	89
	b. Publishing Information About Price and Quality and Using	00
	Data to Tier Physician Networks and Sanction Hospitals for	00
	"Never Events," and "Excessive" Readmissions	89
	c. Incentivizing Provider Performance Through Medicare Shared	00
	Savings: Accountable Care Organizations	90
	d. Should Payers Face Liability for Injuries or Deaths Linked to	
	Value–Based Purchasing?	90
	e. Excluding Substandard Health Care Professionals Through	
	Peer Review	90
	Doyle v. Secretary of Health and Human Services	91
	Notes	91
	APTER 21. Liability for Medical Negligence: Special Issues That Arise in Situations Involving Insurers and Health Plan Administrators	9
1.	Introduction	91
2.	Insurer Negligence in Connection With Coverage Determinations	91
	Wickline v. State of California	91
	Notes	92
	McEvoy v. Group Health Cooperative of Eau Claire	92
	Notes	93
3.	Liability for Medical Injuries Arising From Health Care Negli-	
	gence	93
	a. Vicarious Liability and Agency	93
	Boyd v. Albert Einstein Medical Center	93
	Notes	94
	b. Corporate Liability	94
	McĈlellan v. Health Maintenance Organization of Pennsylva-	
	nia	94
	Notes	95
	Shannon v. McNulty	
	Note	95
	Jones v. Chicago HMO Ltd. of Illinois Notes	95 96
4	-1000	90
4.	When Does ERISA Preempt the Medical Liability of Insurers and	00
	Plan Administrators?	96
	a. Medical Negligence in Connection With Medical Utilization	
	Review by an ERISA Plan Administrator	96
	Corcoran v. United Healthcare, Inc.	96
	Note	97
	b. The Pegram v. Herdrich "Interlude" and the Aetna v. Davila	_
	"Resolution"	98
	Aetna Health, Inc. v. Davila	98
	Notes	99

4. When Does ERISA Preempt the Medical Liability of In	surers and
Plan Administrators?—Continued	
c. The Final Step: ERISA Preemption in Cases in Wh	
Involve Negligent Treatment	
Dukes v. U. $\tilde{S}$ . Healthcare, Inc	
Notes	1007
PART FOUR. Regulation of Health Care Transaction	ons 1015
CHAPTER 22. Overview	1016
CHAPTER 23. Tax Exemption in the Modern Healt	
System	1020
1. The Nature of Community Benefit	1024
Geisinger Health Plan v. Commissioner of Internal Reve	enue (Geis-
inger~I)	1024
Notes	
2. The Integral Part Doctrine	1056
Geisinger Health Plan v. Commissioner of Internal Reve	enue (Geis-
inger III)	1057
Notes	
3. Joint Ventures With Nonexempt Entities	1070
Redlands Surgical Services v. Commissioner of Internal	Revenue 1073
Notes	
St. David's Health Care System v. United States	
Notes	1108
CHAPTER 24. Health Care Fraud and Abuse	1108
1. False Claims	1119
Civil Monetary Penalties for False Claims	
United States v. Krizek	
Notes	
United States ex rel. Mikes v. Straus	
Notes	
2. RICO	
3. The Anti–Kickback Statute (AKS) and Stark	
The Anti–Kickback Statute (AKS)	
Civil Monetary Penalties for Kickbacks	
United States v. Greber	1163
Notes	1165
Exceptions to the AKS	1167
Notes	1168
The AKS Safe Harbors	1170
Space Rental Safe Harbor	1171
AKS Advisory Opinions	1173
Safe Harbor for Ambulatory Surgical Centers	1174
Notes	1181
Stark	
Stark's Proscribed Arrangements	
Stark Exceptions	
4. Closing Reflections and Questions	1192

CHAPTER	<b>25.</b>	The A	pplication	of Antitrust	to Health	Care	1195
---------	------------	-------	------------	--------------	-----------	------	------

1.	Market Power	1201
	a. Market Share	1202
	United States v. Long Island Jewish Medical Center Notes	
	Note: Evanston Northwestern Healthcare Corporation	
	b. Barriers to Entry	
	Ball Memorial Hospital v. Mutual Hospital Insurance, Inc Notes	1241
2.	Exclusionary Conduct or Lawfulness	
	United States v. Alcoa	
	Kartell v. Blue Shield of Massachusetts, Inc	
	United States v. Dentsply International, Inc	1257
3.		
•	Arizona v. Maricopa County Medical Society	1285
4.	Characterization Issues	1312
	Rome Ambulatory Surgical Center v. Rome Memorial Hospital Notes	1312
5.	Concluding Thoughts: What's the Purpose?	1358
[ND	EX	1365