

Table of Contents

PREFACE.....	V
ACKNOWLEDGMENTS.....	XI
Chapter 1. Social Science and Psychological Influences in Law.....	1
Law and Social Science: Siblings or Distantly Related Cousins?.....	2
History of Social Science and Law: How the Fields Have Come Together	4
From <i>Muller</i> to <i>Brown</i> : Social Science’s Growing Import.....	4
The Beginnings: Pragmatic Jurisprudence and the New Social Sciences.....	7
Traditional Jurisprudence.....	8
Experience, Not Logic.....	9
Developments in Psychology	10
Legal Realism.....	10
Law and Psychology After World War II.....	12
Growth of a New Field	13
Problem-Solving Judges and Therapeutic Jurisprudence.....	14
Avenues of Participation in the Legal Arena.....	15
Social Science and Policy: Presenting Social Authority (Legislative) Facts.....	16
When Are the Findings of Social Science and Psychology Sufficient to Be Admitted into Evidence in a Legal Proceeding?	20
The <i>Frye</i> Standard	22
The <i>Daubert</i> Decision and Social Science	22
How Legal System Involvement Has Affected Psychology	28
Research, Pretrial Hearings, and Cross-Examination.....	28
Internal and External Validity and Causation Versus Correlation	28
Emerging Issues	30
Ethical Standards and Codes	30
Objectivity and Values: Controversy About Amicus Briefs.....	31
Summary.....	32
Discussion Questions.....	33
Key Terms.....	34
Chapter 2. The Legal System in the United States.....	35
Historical Roots.....	35
Federalism	36
Limits of Federal Power	37
State Sovereignty.....	37
Congress and the States	38
Laws Can Be Different in Different States.....	39
Refereeing the Balance.....	40
The Separation of Powers	40
Checks and Balances	40
Administrative Law.....	41
Administrative Appeals.....	41
Interplay Among the Branches	42

The Special Role of the Judiciary	43
Due Process of Law.....	43
The Federal and State Judicial Systems.....	46
Trial Courts	46
State Court Systems	47
Appellate Courts.....	48
Court of Last Resort.....	50
Criminal Law and Civil Law	51
Criminal Law.....	51
Civil Law.....	52
Making Law Through Civil Cases.....	53
Civil and Criminal Due Process.....	54
Case Law and Common Law	55
Constitutional Law	57
Legislative-Judicial Interplay	58
Politics and the Supreme Court.....	59
Summary	60
Discussion Questions	62
Key Terms	63
Chapter 3. Prediction, Bail, and the <i>Tarasoff</i> Duty.....	65
Section I. Prediction: Methods and Dilemmas.....	68
Clinical Versus Statistical Predictions	68
The Psychometrics of Statistical Predictions	70
Cutoff Scores, Hits, and Misses	71
Base Rates	72
Accuracy of Predictions of Dangerousness: High Error Rates	73
Can Accuracy Be Improved?.....	73
Validity of Predictors	73
Faulty Criterion Measures	74
Risk Management	75
Costs and Benefits of Risk Assessment	75
Section II. Bail: A Systems Reform	77
Between Arrest and Trial.....	78
Bail Options.....	79
Defendants' Rights	80
Denial of Bail.....	80
The Eighth Amendment and Excessive Bail	81
The Law in Action.....	81
The Costs of Detainment.....	83
Released on One's Own Recognizance	86
How Well Is the Bail System Functioning Today?.....	87
MacArthur Foundation Safety and Justice Challenge and California's Legal Changes	90
Section III. The <i>Tarasoff</i> Duty.....	91
<i>Tarasoff</i> and Tort Law	92
Psychological and Professional Issues.....	95
Courts Balance Values	95
Social Scientists Evaluate Consequences of <i>Tarasoff</i>	95

Extension and Impact of <i>Tarasoff</i>	97
Therapists’ Responses to <i>Tarasoff</i>	98
Attitudes and Practice.....	98
Assessing Dangerousness	99
Protecting the Potential Victim	100
The Effects of the <i>Tarasoff</i> Duty on the Therapeutic Relationship	101
Potential Juror Biases.....	102
Hindsight Bias and Liability	102
Fear of the Mentally Ill.....	103
Possible Legal Reforms to <i>Tarasoff</i> Liability Cases.....	103
Summary.....	104
Discussion Questions.....	105
Key Terms.....	106
Chapter 4. Competence: Criminal and Civil.....	109
Section I. Competence and Criminal Justice	111
Competence to Stand Trial	111
Distinction Between Competence to Stand Trial and the Insanity	
Defense.....	111
Historical and Legal Context.....	113
Defendants’ Rights.....	113
Scope of the Problem.....	114
Strategic Uses of Competence Evaluations	115
Consequences of a Determination of Incompetence to Stand Trial.....	116
Criteria for Competence: What Should Mental Health Professionals	
Evaluate?	117
Criticisms of Standards	118
Competence Determination in Practice	118
Outpatient Evaluation	119
Improving Evaluations of Competence to Stand Trial.....	120
Assessment Instruments	121
The MacArthur Competence Assessment Tool-Criminal Adjudication	122
Treatment for Defendants Incompetent to Stand Trial.....	123
Medication.....	124
Psychological Treatment.....	125
Other Issues in Criminal Competence.....	126
Representing Oneself	126
Refusal to Plead Insanity.....	127
Competence to Be Executed.....	128
Section II. Competence in Civil Law	131
Age and Competence.....	131
Competence to Make a Will.....	132
Competence to Manage One’s Affairs.....	133
Procedures for Declaring Someone Incompetent.....	133
Role of Experts.....	134
Competence to Make Medical Decisions	135
Children and Consent to Medical Treatment.....	135
Consent to Treatment and the Mentally Ill.....	137
Patients’ Rights	138

Dying with Their Rights on?.....	139
Assessing Competence to Refuse Treatment	140
Is Consent Ever Fully Voluntary?	141
Involuntary Psychiatric Hospitalization of Youth	141
Competence to Consent to Sexual Relationships	143
Competence to Marry	143
Involuntary Sterilization of the Intellectually Disabled.....	143
Competence to Consent to Sex.....	145
Summary	146
Discussion Questions	148
Key Terms	149
Chapter 5. Trial by Jury	151
A Brief History.....	152
Precursors to the Jury.....	152
The Jury Trial as Right	153
Right to Jury Trial in the United States	155
Trial by Jury in the Constitution	155
The Bill of Rights	156
Juror Decision Making and Evidence	157
Weighing the Evidence	158
Judges and Juries	158
Procedural Justice and the Adversarial Method	159
Advocate Zealously.....	159
Do Participants Experience the Adversarial System as Fair?	161
The Jury as a Small Group	161
First Precept: The Jury Is the Fact-Finder, the Judge Is the Lawgiver.....	163
Second Precept: Only Legally Acceptable Testimony Admitted into Evidence Should Be Considered	164
Third Precept: Jury Unanimity and Jury Size.....	167
Fourth Precept: Deliberate and Debate.....	169
Fifth Precept: No Time Limit on Deliberation	170
Sixth Precept: Vote Your Conscience.....	171
Juries as Lawmakers	172
Jury Nullification	172
Nullification and Civil Disobedience.....	172
Telling the Jury About Its Nullification Powers	173
Jury Selection.....	174
Representativeness.....	175
The Voir Dire	175
Science and Jury Selection	179
Racial Composition of Juries.....	181
The (Disappearing) Civil Jury	184
Does the Civil Jury Work? Medical Malpractice Cases and the Myth of the Pervasive Runaway Jury.....	185
Does the System Work?.....	188
Summary	189
Discussion Questions	190
Key Terms	191

Chapter 6. Miscarriages of Justice: False Confessions, False Pleas, and Eyewitness Identification Errors	193
Miscarriages of Justice	194
Rates of Erroneous Convictions	194
False Pleas and False Confessions: Similarities and Differences	195
False Confessions	195
Interrogation Methods	196
False Confessions in Juveniles	197
Admissibility of Expert Testimony	198
Recommended Policy Changes	198
False Pleas	199
Predictors of False Pleas	201
Proposed Reforms	201
Eyewitness Testimony: The Legal Context	203
Potential Unreliability of a Single Eyewitness	203
Excluding Eyewitness Identifications: The Totality of Circumstances	204
Excluding Expert Testimony About Eyewitness Identification Accuracy	205
Is There Sufficient Good Science to Support Eyewitness Identification Testimony?	206
Basic Science Underlying Research on Eyewitness Identifications	207
Eyewitness Research Paradigms	209
The Lineup	210
Simultaneous v. Sequential Lineups	213
Consensus in the Field	214
Ecological Validity	215
Will Expert Testimony Be Helpful to Jurors?	216
Presenting Research Findings in Court	217
Framework Testimony and Cross-Examination	217
What Is the Effect of Expert Testimony?	217
Judicial Instructions	219
Changing the System	220
Summary	222
Discussion Questions	223
Key Terms	224
 Chapter 7. The Insanity Defense	 227
The Legal and Historical Context of the Insanity Defense	228
Responsibility, Blameworthiness, and Punishment	228
The History of Insanity Defense Standards	231
The American Law Institute Standard	236
Insanity Defense Reforms: The Aftermath of the <i>Hinckley</i> Trial	238
How Jurors Think About Insanity Cases	241
The Insanity Defense in Practice	242
Misperceptions of the Insanity Defense	242
What Is the Outcome of a Successful NGRI Plea?	245
Recidivism	247
Expert Testimony	248
What Counts as a Mental Disease for the NGRI Plea?	248
How Is Mental Illness Diagnosed?	251

Diagnostic Reliability and the Adversary Process	252
Mental Illness and Insanity: Ultimate Issues	254
Alternatives to the Insanity Defense.....	255
The Guilty but Mentally Ill Verdict.....	255
Abolishing the Insanity Defense	257
Summary	259
Discussion Questions	261
Key Terms	261
Chapter 8. The Death Penalty	263
The History and Jurisprudence of Capital Punishment.....	264
Challenges to the Death Penalty	265
<i>Furman v. Georgia</i>	266
<i>Gregg v. Georgia</i>	266
Arguments for and Against Capital Punishment	269
Rehabilitation	270
Retribution	271
Community Safety.....	271
Deterrence.....	271
Morality.....	273
Capital Punishment and Race.....	274
Historical Overview	274
<i>McCleskey v. Kemp</i>	275
Differences in Judicial and Social Science Reasoning.....	277
The Guilt Phase: Death Qualification.....	278
<i>Witherspoon v. Illinois</i>	279
<i>Hovey v. Superior Court</i>	280
<i>Lockhart v. McCree</i>	283
Epistemology and the Courts	284
The Penalty Phase: Mitigating and Aggravating Circumstances.....	286
The Defense's Task	286
The Prosecutor's Task.....	287
Jury's Role	288
The Death Penalty and Intellectual Disability	290
Death Penalty for Juveniles	292
Death Penalty Reforms	295
Summary	296
Discussion Questions	297
Key Terms	298
Chapter 9. Juvenile Court and the Legal Processing of Children and	
Adolescents.....	299
Historical Context	300
The Origin and Philosophy of Juvenile Court	301
The Juvenile Court System Today.....	304
Delinquency and Status Offenses.....	306
Status Offenses.....	307
Delinquency and Due Process.....	309
Recriminalizing Delinquency.....	310
Adolescent Immaturity.....	312

The Transfer Laws	315
Is the Transfer System Fair and Effective?	317
Does Recriminalization Reduce Juvenile Crime?	318
Psychological and Developmental Issues in Juvenile Competence.....	320
Competence to Waive Due Process Rights.....	320
Juvenile Competence to Stand Trial as Adults	322
Treating Incompetence to Stand Trial	323
New Reforms.....	324
Teen Courts	325
The New Zealand Family Group Conference Model	327
Summary.....	330
Discussion Questions.....	331
Key Terms.....	332
Chapter 10. Protecting Children: Child Protection Proceedings.....	335
The Child Protection System	336
Historical Background and Current Status.....	336
Protecting Children: Comparing Criminal Court to the Child Protective System	342
The Child Protection Services (CPS) Worker.....	350
Mandated Reporting, Role Conflict, and Professional Practice	352
Children’s Rights, Parents’ Rights	356
Procedural Differences in Civil and Criminal Court	356
Issues of Proof in Family Court.....	357
Disposition.....	359
Termination of Parental Rights	360
The Adoption and Safe Families Act of 1997	363
Summary.....	364
Discussion Questions.....	365
Key Terms.....	366
Chapter 11. Children as Witnesses in Criminal Courts.....	367
History of the Study of the Child as a Witness	369
The Child in Court: Competence	374
Competence to Testify: Legal Standards	375
Children’s Memory and Cognitive Competence	377
Do Children Fabricate Stories?	382
Protecting Children Against the Stress of Testifying.....	385
Victim Advocate/Support Person.....	386
Lawyer’s Language.....	387
Closing the Courtroom.....	387
Avoiding Face-to-Face Confrontation.....	388
How Credible Are Child Witnesses to Jurors?.....	395
Accuracy of Jurors’ Judgments.....	396
Expert Witnesses, Child Witness Research, and the <i>Daubert</i> Decision.....	396
Summary.....	399
Discussion Questions.....	400
Key Terms.....	401

Chapter 12. Intimate Partner Violence	403
The “Discovery” of Intimate Partner Violence	404
Intimate Partner Violence: A Major Social Problem	406
Defining and Measuring IPV	406
How Common Is IPV?	408
A Chronic Problem	408
Gender, Violence, and Abuse	409
IPV and Children	411
Victims, Abusers, and the Dynamics of Abusive Relationships	413
Social Factors Relating to IPV	413
Typologies of Abuse	414
Victims	415
Ending Abuse: Why Do Victims Not Leave?	416
Responding to IPV: The Systems Network	417
The Medical System	418
Social Services	418
The Justice System’s Response	419
Police Response	419
Prosecution	421
The Courts	423
Orders of Protection	424
Diversion and Treatment	428
Child Protection and IPV: System Responses	429
Is Witnessing IPV Reportable Child Abuse?	429
Child Protection and IPV Services	430
Differences Between IPV and CPS Interventions	430
Intimate Partner Violence, Divorce, Custody, and Visitation	431
Custody and Divorce	431
Mediation and Orders of Protection	432
Supervised Visitation	433
Partner Murder	434
The Battered Woman Defense	434
Is the Syndrome Valid?	435
How Effective Is the Defense?	436
Summary	436
Discussion Questions	437
Key Terms	438
Chapter 13. Family Policy: Psychology and Law in the Home	439
Marriage: Who Can Form a Union and What Social Science Has to Say About It	440
Historical Background: Views of Homosexuality and Same-Sex Marriage	441
Same-Sex Marriage Lawsuits: Supreme Court Recognizes a Protected Right	443
Custody: Dividing Parenting When Parents Part	445
Historical Background: Paternal and Maternal Preferences	446
Paternal to Maternal Preference	447
“Best Interests” Standard	447
Effects of Divorce on Children’s Psychological Well-Being	449
Negative Effects of Divorce	450
High-Conflict Families	451

Primary Caretakers and Stability	451
Joint Custody	452
Natural and Psychological Parents	454
Controversial Factors in Custody Decisions.....	455
Alternative Reproductive Technologies (ART)	456
Race and Ethnicity	456
Religion	456
Relocation.....	457
Paying Attention to a Child’s Wishes.....	459
Asking Children’s Wishes	459
Allegations of Abuse in Divorce Cases.....	462
Custody Evaluations: Problems and Ethics	464
Legal and Ethical Problems.....	465
Custody-Specific Measures.....	466
The Changing Legal Landscape: Mediation.....	467
Alternative Dispute Methods: New Roles for Clinicians and Researchers	470
Adoption: Establishing Parental Bonds via Law	472
Circumstances of Adoption	474
Types of Adoptions.....	474
History of Adoption Laws	476
Selecting the “Best” Parents	477
Laws Modifying Adoption Practices	478
Implementing ICWA.....	479
The Success of Adoptions	480
Search for Information About Birth Parents.....	481
Summary.....	481
Discussion Questions	483
Key Terms.....	483
Chapter 14. The Right of Adolescents to Make Significant Medical Decisions:	
The Abortion Example	485
Historical Context.....	486
The Constitutional Context	488
Limiting the Privacy Right.....	491
Adolescent Pregnancy and Abortion.....	493
Adolescent Pregnancy.....	493
The Abortion Rights of Minors	493
Research Issues Raised by the Court Decisions	498
Minors’ Competence to Make a Decision About Abortion.....	498
Effects of Parental Notification and Consent Statutes	501
How Adolescents Adapt to the Law	502
Personal Outcomes for Pregnant Adolescents.....	506
Summary.....	511
Discussion Questions	513
Key Terms.....	513

Chapter 15. Sexual Harassment.....	515
Prevalence of Sexual Harassment.....	517
Historical and Legal Context.....	518
Civil Rights Legislation: Title VII.....	518
Sexual Harassment: An Extension of Employment Discrimination.....	518
Types of Discriminatory Harassment.....	521
Gender Stereotypes and Discrimination.....	523
<i>Price Waterhouse v. Hopkins</i>	523
Cutting Through the Double Bind.....	525
Psychological Injury.....	526
The APA Amicus Brief.....	527
Objective Standards: A Reasonable Woman, Person, or Victim?.....	532
Gender Differences in Perceptions of Harassment.....	532
Magnitude of Gender Differences.....	534
The Effect of a “Reasonable Woman” Standard.....	534
Policy Considerations.....	536
Harassment of Men and Same-Sex Harassment.....	537
When Is Sexual Behavior Discriminatory?.....	539
Objectivity: A Matter of Context.....	540
Limiting Company Liability: Developing a Complaint Process.....	541
The Law on the Books and the Law in Action.....	542
Will the Complaint Process Be Used?.....	542
Real-Life Fact-Finders.....	543
Harassment in the Schools.....	546
Harassment in Grade Schools and High School.....	546
Harassment by Teachers.....	547
Harassment by Peers.....	547
Summary.....	548
Discussion Questions.....	549
Key Terms.....	550
Chapter 16. Psychological Tests and Discrimination in Education and Employment.....	551
History of Psychological Testing.....	552
Intelligence Tests.....	552
Use of Intelligence Tests for Vocational Selection.....	553
Intelligence Tests and Social Power.....	554
Achievement Tests.....	555
The Legal Attack on Tests.....	556
Testing in Education Settings.....	557
Tracking in Schools.....	557
Declaring Intelligence Tests “Illegal”.....	559
Declaring Tests Legal.....	561
What Did the Lawsuits Accomplish?.....	561
Aptitude Tests, Gender, and National Merit Scholarships.....	562
Achievement Tests, Minimum Competence Tests, and “High-Stakes” Testing.....	565
Types of Tests.....	565
The Heisenberg Uncertainty Principle: Social Science Version.....	566
Effect of High-Stakes Testing on Learning.....	567

College Admission and Affirmative Action.....	569
Reaction to Affirmative Action.....	571
Affirmative Action and the U.S. Supreme Court in the 21st Century	572
Race as a “Plus” Factor (Law School Lawsuit).....	573
Statistics and Discrimination	574
Race = +20 Points Is Invalid (LSA Lawsuit).....	574
Law School Admissions	575
Does Affirmative Action Affect Minorities Adversely?	577
Non-Racially Based Solutions to Maintaining Diversity in Education	578
Tests and Employment	582
Disparate Impact and Business Necessity.....	582
Backing off: Discriminatory Intent and Lower Standards of Validation	584
Summary.....	585
Discussion Questions.....	586
Key Terms.....	587
Chapter 17. Law, Ethics, and the Regulation of the Professions	589
Government Regulation: Licensing and Certification	591
Oversight and Discipline.....	592
New Sources of Complaints	595
The American Psychological Association Ethical Code.....	597
Underlying Ethical Principles	598
Enforcement of Ethical Standards	599
New Ethical Problems	600
Therapy on the Internet	600
Participation in National Security-Related Interrogations	601
Malpractice Suits	602
The Hippocratic Oath.....	603
Memory Recovery or False Memories? A Source of Malpractice Suits	604
The False Memory Syndrome Foundation (FMSF): An Advocacy Organization	608
The <i>Ramona</i> Case: Malpractice Suits by Third-Party Plaintiffs	609
Effects of Malpractice Suits	611
Summary.....	613
Discussion Questions.....	614
Key Terms.....	614
Appendix A. Glossary of Legal Terms	617
Appendix B. References	637
INDEX	775