

TABLE OF CONTENTS

PREFACE TO THE NINTH EDITION.....	V
PREFACE TO THE FIRST EDITION.....	VII
COPYRIGHT ACKNOWLEDGMENTS	XIII
TABLE OF CASES.....	XXXIX
TABLE OF MODEL PENAL CODE SECTIONS.....	XLV
Chapter 1. Introduction: Setting the Stage.....	1
A. Nature, Sources, and Limits of the Criminal Law	1
Henry M. Hart, Jr.—The Aims of the Criminal Law	1
Notes and Questions	3
B. Criminal Law in a Procedural Context: Pre-Trial	6
C. Criminal Law in a Procedural Context: Trial by Jury.....	8
D. Proof of Guilt at Trial.....	9
1. “Proof Beyond a Reasonable Doubt”.....	9
Notes and Questions	10
2. Enforcing the Presumption of Innocence	14
Owens v. State	14
Notes and Questions	18
E. Jury Nullification	19
Introductory Comment.....	19
State v. Ragland	20
Notes and Questions	23
Chapter 2. Principles of Punishment	31
A. Introduction	31
Initial Thoughts and Hard Statistics	31
B. Theories of Punishment	34
1. In General	34
Kent Greenawalt—Punishment	34
Notes and Questions	36
2. Utilitarian Justifications	37
Jeremy Bentham—An Introduction to the Principles of Morals and Legislation	37
Notes and Questions	38
Kent Greenawalt—Punishment	39
Notes and Questions	41
3. Retributive Justifications	43
Michael S. Moore—The Moral Worth of Retribution	43
Notes and Questions	44
Immanuel Kant—The Philosophy of Law.....	44
Notes and Questions	45

2 James Fitzjames Stephen—A History of the Criminal Law of England	46
Notes and Questions	47
Herbert Morris—Persons and Punishment	47
Notes and Questions	49
Jean Hampton—The Retributive Idea	50
Notes and Questions	51
C. The Penal Theories in Action	53
1. Who Should Be Punished?	53
The Queen v. Dudley and Stephens	53
Notes and Questions	55
2. How Much (and What) Punishment Should Be Imposed?	56
People v. Superior Court (Du)	56
People v. Du	59
Notes and Questions	61
United States v. Gementera	64
Notes and Questions	71
D. Proportionality of Punishment	73
1. General Principles	73
Immanuel Kant—The Philosophy of Law	73
Jeremy Bentham—An Introduction to the Principles of Morals and Legislation	74
Notes and Questions	75
2. Constitutional Principles	75
Coker v. Georgia	76
Notes and Questions	81
Ewing v. California	83
Notes and Questions	92
Chapter 3. Modern Role of Criminal Statutes	95
A. Principle of Legality	95
1. The Requirement of Previously Defined Conduct	95
Commonwealth v. Mochan	95
Notes and Questions	98
Keeler v. Superior Court	99
Notes and Questions	107
2. The Values of Statutory Clarity	109
In re Banks	109
Notes and Questions	113
Desertrain v. City of Los Angeles	118
Notes and Questions	123
B. Statutory Interpretation	125
Muscarello v. United States	125
Notes and Questions	131
Chapter 4. Actus Reus	133
Introductory Comment: Defining “ <i>Actus Reus</i> ”	133

A.	Voluntary Act	134
	Martin v. State	134
	Notes and Questions	134
	State v. Utter	137
	Notes and Questions	140
B.	Omissions (“Negative Acts”)	146
1.	General Principles	146
	People v. Beardsley.....	146
	Notes and Questions	148
2.	Distinguishing Acts from Omissions	152
	Barber v. Superior Court	152
	Notes and Questions	157
C.	Social Harm	157
	Notes and Questions	159
Chapter 5. Mens Rea		161
A.	Nature of “Mens Rea”	161
	United States v. Cordoba-Hincapie.....	161
	Notes and Questions	162
	Regina v. Cunningham	163
	Notes and Questions	165
B.	General Issues in Proving Culpability	165
1.	“Intent”	165
	People v. Conley.....	165
	Notes and Questions	168
2.	The Model Penal Code Approach.....	173
	Introductory Comment.....	173
	American Law Institute, Model Penal Code and Commentaries, Comment to § 2.02	173
	Notes and Questions	176
3.	“Knowledge” of Attendant Circumstances (the “Wilful Blindness” Problem)	177
	State v. Nations	177
	Notes and Questions	180
4.	Problems in Statutory Interpretation	182
	Introductory Comment.....	182
	State v. Miles	183
	Notes and Questions	188
C.	Strict Liability Offenses	190
	Morissette v. United States	190
	Notes and Questions	192
	Staples v. United States	193
	Notes and Questions	199
	Garnett v. State	201
	Notes and Questions	207

D.	Mistake and Mens Rea.....	208
1.	Mistake of Fact.....	208
	People v. Navarro.....	208
	Notes and Questions.....	211
2.	Mistake (or Ignorance) of Law.....	213
	People v. Marrero.....	213
	Notes and Questions.....	220
	Cheek v. United States.....	225
	Notes and Questions.....	229
	Chapter 6. Causation.....	231
A.	Actual Cause (Cause-in-Fact).....	231
	Velazquez v. State.....	231
	Oxendine v. State.....	232
	Notes and Questions.....	236
B.	Proximate Cause (“Legal” Cause).....	237
	People v. Rideout.....	238
	Notes and Questions.....	244
	Velazquez v. State.....	249
	Notes and Questions.....	251
C.	Concurrence of the Elements.....	253
	State v. Rose.....	253
	Notes and Questions.....	255
	Chapter 7. Criminal Homicide.....	257
A.	Overview.....	257
1.	Homicide Statistics.....	257
2.	Common Law Origins and Statutory Reform.....	258
	American Law Institute, Model Penal Code and Commentaries, Comment to § 210.2.....	258
	American Law Institute, Model Penal Code and Commentaries, Comment to § 210.3.....	259
3.	Variations on the Theme: Some Selected Homicide Statutes.....	261
	Notes and Questions.....	274
4.	The Protected Interest: “Human Being”.....	274
	People v. Eulo.....	274
	Notes and Questions.....	279
B.	Intentional Killings.....	281
1.	Degrees of Murder: The Deliberation-Premeditation Formula.....	281
	State v. Guthrie.....	281
	Notes and Questions.....	284
	Midgett v. State.....	286
	Notes and Questions.....	288
	State v. Forrest.....	289
	Notes and Questions.....	291
2.	Manslaughter: “Heat of Passion” Killings.....	293
	Introductory Comment.....	293

a.	Common Law Principles	294
	Girouard v. State	294
	Notes and Comments	298
	Joshua Dressler—Rethinking Heat of Passion: A Defense in Search of a Rationale	300
	Joshua Dressler—Why Keep the Provocation Defense?: Some Reflections on a Difficult Subject.....	302
	Notes and Questions.....	304
b.	The “Reasonable Person”: Provocation Law and Beyond.....	305
	Introductory Comment.....	305
	Notes and Questions.....	305
c.	Model Penal Code and Beyond	311
	People v. Casassa.....	311
	Notes and Questions.....	316
3.	Murder Versus Manslaughter: A Literary Problem.....	321
	Willa Cather—O Pioneers.....	321
	Notes and Questions	324
C.	Unintentional Killings: Unjustified Risk-Taking.....	324
	Introduction: A Road Map	324
	People v. Knoller.....	325
	Notes and Questions	329
	State v. Williams	333
	Notes and Questions	336
D.	Unintentional Killings: Unlawful Conduct.....	342
1.	The Felony-Murder Rule.....	342
a.	The Doctrine: In General	342
	American Law Institute, Model Penal Code and Commentaries, Comment to § 210.2.....	342
	People v. Fuller	343
	Notes and Questions.....	345
b.	The Policy Debate	345
	Nelson E. Roth & Scott E. Sundby—The Felony-Murder Rule: A Doctrine at Constitutional Crossroads	345
	David Crump & Susan Waite Crump—In Defense of the Felony Murder Doctrine	348
	Notes and Questions.....	352
c.	Limitations on the Rule.....	353
i.	Overview.....	353
ii.	The “Inherently Dangerous Felony” Limitation	354
	Fisher v. State.....	354
	Notes and Questions.....	361
iii.	The “Independent Felony” (or Merger) Limitation	363
	People v. Smith	363
	Notes and Questions.....	366
iv.	Killings “in the Perpetration” or “in Furtherance” of a Felony	368
	State v. Sophophone	368

	Notes and Questions	371
2.	Unlawful-Act Manslaughter (the “Misdemeanor Manslaughter” Rule)	373
3.	A Brain Teaser to End All Brain Teasers	373
	Notes and Questions	374
E.	Capital Murder	374
1.	The Constitutional and Policy Debate	374
	Introductory Comment	374
	Gregg v. Georgia	375
	Notes and Questions	383
2.	The Quest for Reliable Procedures	391
	Introductory Comment	391
a.	Racial Discrimination	392
	McCleskey v. Kemp	392
	Notes and Questions	398
b.	Victim Impact Evidence	399
	Payne v. Tennessee	399
	Notes and Questions	406
3.	Substantive Limitations on the Death Penalty	408
	Tison v. Arizona	408
	Notes and Questions	414
	Chapter 8. Rape and Related Sexual Offenses	417
A.	Overview	417
	Reflections on Law in Flux	417
1.	Rape (Sexual Assault) Statistics	418
	U.S. Dept. of Justice, Bureau of Justice Statistics—Female Victims of Sexual Violence, 1994–2010	418
	Notes and Questions	422
2.	Social Context	424
	Susan Estrich—Rape	424
	Notes and Questions	425
	Joshua Dressler—Where We Have Been, and Where We Might Be Going: Some Cautionary Reflections on Rape Law Reform	428
	Notes and Questions	429
3.	Variations on the Theme: Selected Sexual Offense Statutes	431
B.	Actus Reus	439
1.	The Traditional (Pre-Reform) Approach: Forcible Rape	439
a.	Force and Non-Consent	439
	State v. Alston	439
	Notes and Questions	443
b.	The Resistance Requirement	445
	Rusk v. State	445
	State v. Rusk	451
	Notes and Questions	452

2.	Moving Beyond the Traditional Approach	456
a.	Overview.....	456
	Susan Estrich—Rape.....	457
	Susan Ager—The Incident	457
	Notes and Questions.....	459
b.	Redefining “Force”	460
	Commonwealth v. Berkowitz	460
	Notes and Questions.....	469
c.	Abandoning “Force”: Rape as Non-Consensual Sexual Intercourse	471
	State of New Jersey in the Interest of M.T.S.....	471
	Notes and Questions.....	479
d.	“Consent” Obtained by Fraud or Intoxicants.....	483
	Boro v. Superior Court	483
	Notes and Questions.....	487
C.	Mens Rea.....	490
	Commonwealth v. Lopez	490
	Notes and Questions	494
D.	Proving Rape: Rape Shield Laws	497
	State v. Herndon	497
	People v. Wilhelm.....	498
	Notes and Questions	501
E.	Statutory Rape	501
	Garnett v. State	501
	Notes and Questions	501
	Chapter 9. General Defenses to Crimes	507
A.	Categories of Defenses	507
	Paul H. Robinson—Criminal Law Defenses: A Systematic Analysis.....	507
	Joshua Dressler—Justifications and Excuses: A Brief Review of the Concepts and the Literature.....	510
	Notes and Questions	513
B.	Burden of Proof.....	513
	Patterson v. New York	514
	Notes and Questions	521
C.	Principles of Justification	521
1.	Structure and Underlying Theories of Justification Defenses	521
	1 Paul H. Robinson—Criminal Law Defenses.....	521
	Joshua Dressler—Understanding Criminal Law	522
2.	Self-Defense	524
a.	General Principles	524
	United States v. Peterson	524
	Notes and Questions.....	530
b.	“Reasonable Belief” Requirement.....	536
i.	In General	536
	People v. Goetz	536

	Notes and Questions.....	542
ii.	The “Reasonable Person”: Objective, Subjective, or a Mixed Standard?.....	549
	State v. Wanrow.....	549
	Notes and Questions.....	552
iii.	Battered Women, Battered Woman Syndrome and Beyond.....	556
	State v. Norman.....	557
	State v. Norman.....	563
	Notes and Questions.....	566
	Stephen J. Morse—The “New Syndrome Excuse Syndrome”.....	572
	Notes and Questions.....	574
3.	Defense of Others.....	576
	State v. Giminski.....	576
	Notes and Questions.....	579
4.	Defense of Habitation/Property and Law Enforcement Defenses.....	580
	State v. Boyett.....	581
	Notes and Questions.....	585
5.	Necessity (“Choice of Evils”).....	589
a.	General Principles.....	589
	Nelson v. State.....	589
	American Law Institute, Model Penal Code and Commentaries, Comment to § 3.02.....	592
	Notes and Questions.....	593
b.	Civil Disobedience.....	596
	State ex rel. Haskell v. Spokane County District Court.....	596
	Notes and Questions.....	604
c.	Defense to Murder?.....	605
	The Queen v. Dudley and Stephens.....	605
	Notes and Questions.....	609
D.	Principles of Excuse.....	613
1.	Why Do We Excuse Wrongdoers?.....	613
	Sanford H. Kadish—Excusing Crime.....	613
	Joshua Dressler—Understanding Criminal Law.....	615
2.	Duress.....	617
a.	General Principles.....	617
	United States v. Contento-Pachon.....	617
	Notes and Questions.....	620
b.	Necessity Versus Duress.....	625
	People v. Unger.....	625
	Notes and Questions.....	629
c.	Defense to Murder?.....	630
	People v. Anderson.....	630
	Notes and Questions.....	636

3.	Intoxication	637
	United States v. Veach.....	637
	Notes and Questions	640
4.	Insanity	645
	Introduction: Questions to Consider	645
	a. Some Preliminaries	646
	i. Competency to Stand Trial.....	646
	ii. Pre-Trial Assertion of the Insanity Plea	648
	iii. Burden of Proof at Trial	650
	iv. Post-Trial Disposition of Insanity Acquittees	650
	b. Why Do We Excuse the Insane?: Some Initial Thoughts	651
	United States v. Freeman	651
	Notes and Questions.....	651
	c. Struggling for a Definition: The Tests of Insanity	653
	State v. Johnson.....	653
	American Law Institute, Model Penal Code and	
	Commentaries Comment to § 4.01.....	657
	Notes and Questions.....	658
	d. <i>M'Naghten</i> and the Model Penal Code in Greater Detail	667
	State v. Wilson	667
	Notes and Questions.....	673
	e. An Insanity Problem	678
	Yates v. State	678
	Notes and Questions.....	680
	f. Should (and May) the Defense Be Abolished?	681
	American Law Institute, Model Penal Code and	
	Commentaries Comment to § 4.01.....	681
	Notes and Questions.....	682
	Kahler v. Kansas	683
	Notes and Questions.....	690
5.	Diminished Capacity	690
	Stephen J. Morse—Undiminished Confusion in Diminished	
	Capacity.....	690
	Notes and Questions	692
	Clark v. Arizona.....	696
	Notes and Questions	704
6.	Infancy.....	706
	In re Devon T.	706
	Notes and Questions	712
E.	New Defenses?	713
	1. Euthanasia.....	713
	Introduction	713
	Latimer v. The Queen	716
	Notes and Questions	721
	Yale Kamisar—Physician Assisted Suicide: The Problems	
	Presented by the Compelling, Heartwrenching Case	728
	Notes and Questions	733

2.	Addiction/Alcoholism/??? Constitutional Defense	733
	Robinson v. California	733
	Notes and Questions	737
	Powell v. Texas	738
	Notes and Questions	747
3.	“Severe Environmental Deprivation” Defense	747
	Richard Delgado—“Rotten Social Background”: Should the Criminal Law Recognize a Defense of Severe Environmental Deprivation?	748
	Notes and Questions	750
4.	Cultural Defense.....	751
	State v. Kargar	751
	Notes and Questions	755
	The Cultural Defense in the Criminal Law	755
	Notes and Questions	760
	Chapter 10. Inchoate Offenses	763
A.	Overview	763
	American Law Institute—Model Penal Code and Commentaries, Comment to Article 5	763
	Notes and Questions	764
B.	Attempt	766
1.	General Principles	766
	Ira P. Robbins—Double Inchoate Crimes	766
	Notes and Questions	767
	Andrew Ashworth—Criminal Attempts and the Role of Resulting Harm Under the Code, and in the Common Law	768
	Notes and Questions	770
2.	Grading Criminal Attempts.....	771
	American Law Institute, Model Penal Code and Commentaries, Comment to § 5.05	771
	Notes and Questions	772
3.	Mens Rea.....	773
	People v. Gentry	773
	Notes and Questions	775
	Bruce v. State.....	777
	Notes and Questions	778
4.	Actus Reus	780
a.	General Principles	780
	United States v. Mandujano	781
	Notes and Questions.....	782
	An Initial Effort at Drawing the Preparation-Perpetration Line	784
	Notes and Questions.....	784

b.	Distinguishing Preparation from Perpetration: The Tests at Work	785
	Commonwealth v. Peaslee	785
	Notes and Questions	786
	People v. Rizzo	788
	Notes and Questions	789
	People v. Miller	791
	Notes and Questions	792
	State v. Reeves	794
	Notes and Questions	799
	One Final Problem	801
5.	Special Defenses	802
a.	Impossibility	802
	People v. Thousand	802
	Notes and Questions	810
b.	Abandonment	818
	Commonwealth v. McCloskey	818
	Notes and Questions	821
	A Special Note on Assault	822
	American Law Institute, Model Penal Code and Commentaries, Comment to § 211.1	822
	Notes and Questions	824
C.	Solicitation	825
	State v. Mann	825
	Notes and Questions	825
	State v. Cotton	826
	Notes and Questions	829
D.	Conspiracy	831
1.	General Principles	831
	People v. Carter	831
	Notes and Questions	831
	Pinkerton v. United States	835
	Notes and Questions	836
2.	Mens Rea	839
	People v. Swain	839
	Notes and Questions	842
	People v. Lauria	845
	Notes and Questions	849
3.	Actus Reus	850
	Abraham S. Goldstein—Conspiracy to Defraud the United States	850
	Notes and Questions	851
	Commonwealth v. Azim	852
	Notes and Questions	853
	Commonwealth v. Cook	854
	Notes and Questions	858

4.	Conspiracy: Bilateral or Unilateral?	859
	People v. Foster	859
	Notes and Questions	862
5.	Scope of an Agreement: Party and Object Dimensions.....	864
	American Law Institute, Model Penal Code and Commentaries, Comment to § 5.03	864
	Kilgore v. State	865
	Notes and Questions	869
	Braverman v. United States	871
	Notes and Questions	872
6.	Defenses	873
	Iannelli v. United States	873
	Notes and Questions	878
	Gebardi v. United States.....	879
	Notes and Questions	880
	People v. Sconce.....	881
	Notes and Questions	883
E.	Offenses with “a Large Inchoate Aspect”	883
	Major v. State	884
	Notes and Questions	887
Chapter 11. Liability for the Conduct of Another		893
A.	Accomplice Liability	893
1.	General Principles	893
a.	Common Law Terminology and Its Significance	893
	State v. Ward	893
	Notes and Questions.....	894
b.	Theoretical Foundation: Derivative Liability	895
2.	Accomplice Liability: In General	897
	State v. Hoselton	897
	Notes and Questions	899
3.	Mens Rea.....	901
a.	Intent: “Purpose” or “Knowledge”?	901
	People v. Lauria	901
	Notes and Questions.....	901
b.	When Is “Intent” Not Required?	904
i.	Offenses Not Requiring Intent.....	904
	Riley v. State	904
	Notes and Questions.....	909
ii.	Attendant Circumstances.....	909
iii.	Natural-and-Probable-Consequences Doctrine.....	911
	State v. Linscott	911
	Notes and Questions.....	914
4.	Actus Reus	915
	State v. V.T.	915
	Notes and Questions	916
	Wilcox v. Jeffery	917

Notes and Questions	919
State v. Helmenstein	919
Notes and Questions	922
People v. Genoa.....	924
Notes and Questions	925
A Special Problem: Mutual Combat	926
5. Distinguishing Direct from Accomplice Liability	928
Bailey v. Commonwealth	928
Notes and Questions	931
6. Relationship of the Liability of the Accomplice to the Principal	932
a. If the Principal Is Acquitted	932
United States v. Lopez	932
Notes and Questions.....	934
b. If the Principal Is Convicted	935
People v. McCoy	935
Notes and Questions.....	937
7. Limits to Accomplice Liability	938
In re Meagan R.	938
Notes and Questions	939
State v. Formella	940
Notes and Questions	943
B. Conspiracy Liability	943
Pinkerton v. United States	943
Notes and Questions	943
C. Vicarious Liability	943
Commonwealth v. Koczwara	943
Notes and Questions	949
D. Corporate Liability	949
State v. Christy Pontiac-GMC, Inc.....	949
Pamela H. Bucy—Corporate Ethos: A Standard for Imposing Corporate Criminal Liability	953
Notes and Questions	955
John C. Coffee, Jr.—“No Soul to Damn: No Body to Kick”: An Unscandalized Inquiry Into the Problem of Corporate Punishment.....	956
Notes and Questions	961
Chapter 12. Theft	965
Introductory Comment	965
A. Larceny	966
1. Actus Reus	966
a. “Trespassory Taking (Caption) and Carrying Away (Asportation) * * *”	966
Lee v. State	966
State v. Jones	967
Notes and Questions.....	970

	United States v. Mafnas.....	971
	Notes and Questions.....	973
	Topolewski v. State.....	975
	Rex v. Pear	979
	Notes and Questions.....	980
	Brooks v. State.....	980
	Notes and Questions.....	982
b.	“ * * * of the Personal Property of Another * * * ”	982
	Lund v. Commonwealth	982
	Notes and Questions.....	985
2.	Mens Rea: “ * * * with the Intent to Steal the Property”	986
	People v. Brown	986
	Notes and Questions	988
	People v. Davis.....	990
	Notes and Questions	995
B.	Embezzlement	995
	Rex v. Bazeley.....	995
	Notes and Questions	998
C.	False Pretenses.....	999
	People v. Ingram.....	999
	Notes and Questions	1000
	People v. Whight.....	1001
	Notes and Questions	1004
D.	Federal Mail, Wire, and Computer Fraud.....	1005
	United States v. Czubinski.....	1005
	Notes and Questions	1012
	Appendix. American Law Institute Model Penal Code	1015
	INDEX.....	1099